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IMPORTANT NOTES

- This document contains guidelines on the conduct of the Primary School Leaving Examinations (PSLE).
- The guidelines should be read in conjunction with other BEC documents e.g. Manual for Invigilators, Manual for Standards for Secure Storage of Examination Materials at BEC Centres, Access Arrangements and Special Considerations; A Guide for Centres, Guidelines for Registration of Candidates and other related documents.
- The current Regulations should also be consulted at the time of registration for an examination.
- A copy of the Regulations should be available in every examination room. Invigilators should also be familiar with the regulations
- All correspondence relating to the contents of this document should be directed to the Chief Executive Officer, Botswana Examinations Council

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1. Abbreviations, Terms and Definitions

1.1 Abbreviations

AA	Assessment Administration
BEC	Botswana Examinations Council
CCTV	Closed Circuit Television
CD	Compact Disc
DAA	Director Assessment Administration
DVD	Digital Versatile Disc
OMR	Optical Mark Readers
PSLE	Primary School Leaving Examinations

1.2 Terms and Definitions

1.2.1 Head of Centre/ Chief Invigilator

A person responsible for an institution approved by BEC as a Centre is defined for the purposes of these Guidelines as the Chief Invigilator.

1.2.2 Invigilator

The invigilator is a person in the examination room, who is trained in the art of invigilation to ensure credible examination processes and is responsible for the actual conduct during an exam session.

1.2.3 Rover

Is a special invigilator, whose duties are to ensure that invigilators and candidates get whatever assistance they may require during an exam session.

1.2.4 Access Arrangement

Pre-agreed arrangements made on examinations instruments during test development and also at centre level through accommodations.

1.2.5 Centre

A school, organisation or institution approved and registered with BEC for the entry of candidates to BEC qualifications and to carry out related assessments.

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1.2.6 Component

Part of or a section of the syllabus examination, an individual syllabus usually has several components.

1.2.7 Discrepancy

The inconsistency between the expected number and actual number of exam material received at a centre/BEC.

1.2.8 Main Examination Room

The main hall in the case where candidates have to sit the examinations in multiple rooms.

1.2.9 Preliminary Investigation

Involves checking and referring to documents to establish if indeed the purported constitutes malpractice.

1.2.10 Main Investigation

Involves observation, question, questioning and discussion with representatives and or witnesses to establish facts.

1.2.11 Malpractice

It is an action by a candidate that breaks the BEC regulations and potentially threatens the integrity of BEC examinations.

1.2.12 Script

This refers to candidates' response to a whole or a part of question paper or a component in different formats.

1.2.13 Syllabus

A complete description of the content, assessment arrangement and performance requirements for a qualification.

1.2.14 Component

Part of or a section of the syllabus examination, an individual syllabus usually has several components.

1.2.15 Standardisation

A process to achieve consistency to ensure that all candidates are judged against the same.

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1.2.16 Special Consideration

Special Consideration is a post-examination adjustment made to a candidate's mark, by an awarding body, to make allowances for any adverse circumstances, for example illness, bereavement or temporary injury.

2. Introduction

The Botswana Examinations Council (BEC) has been tasked with overseeing the running of the national examinations on behalf of the Ministry of Basic Education. To this end, BEC has developed regulatory documents that assist the centres in the best practice administration of national examinations. BEC has developed guidelines and associated documents for use in the registration and conduct of examinations. These Guidelines and related procedures detailed herein apply to the examinations, assessments and qualifications provided by BEC.

There are many different activities that need to take place to successfully deliver an examination. Sometimes it can be challenging to remember what needs to be happening. BEC processes are grouped into six phases to help the centres keep track of what needs to be done and when. This is called the BEC Examination Cycle.

BEC Exam Cycle

The cycle includes every phase of the process from the planning and preparation that take place before centres make entries for their candidates, to issuing candidates with their certificates. The six phases are:

- (a) Preparation
- (b) Entries Registration of Candidates
- (c) Teacher Assessment (Coursework)
- (d) Before the Exams
- (e) Exam Day
- (f) Results and Certificates

2.1 Policy

The policies listed below are the cornerstone of the regulatory mechanisms in the administration of examinations in Centres registered with BEC;

- a) BEC/EAC/Pol01 Certification Policy
- b) BEC/CEOPol01 Quality Policy
- c) BEC/ES/Pol02 Whistle Blowing Policy
- d) BEC/CEO/Pol04 Risk Management Policy

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- e) BEC/CEO/Pol05 Communication Policy
- f) BEC/CEO/Pol06 Anti-Fraud Policy

2.2 Background

The regulations and procedures detailed in these guidelines, apply to examination assessments and qualification provided by Botswana Examinations Council. They also reference the EA/AA/Man04 - *Manual for Invigilators - PSLE* and EA/AA/Man01 – *Manual for Standards for Secure storage of Examination materials at BEC Centres*.

By registering with BEC and submitting entries, Centres agree to be bound by the terms and conditions, processes and procedures set out in this guidelines and related documents.

BEC reserves the right to alter the guidelines and any of the other documentations with any changes taking immediate effect unless otherwise stated. BEC will let you know about any changes. Centres must make sure that they are using the current exam year's version of guidelines and related documents.

All services provided by BEC are conditional on the payment of all fees due, in accordance with the payment term.

2.3 Purpose

This document is intended to guide and regulate the conduct of examinations at Primary school level. Heads of Centres or Chief Invigilators are advised to ensure that the procedures in the guidelines are strictly complied with at all times. These guidelines ensure that the administration of the examination is standardised to ensure a fair and credible assessment for all candidates.

The Heads of Centre/ Chief Invigilators, Invigilators and Rovers are advised to familiarise themselves with these Guidelines before the actual examination takes place. The Guidelines should be brought along to the main examination room for reference during the examinations.

3. Scope

These guidelines explain all the administrative duties you need to carry out before, during and after the conduct of exams. The guidelines also set out the regulations for running the exams and assessments. The guidelines detail out the responsibilities of centres and forms part of the legal contract between BEC and the Centre.

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4. Responsibility and Authority

4.1 Centre Responsibilities

4.1.1 The centre through the Chief Invigilator is responsible for all aspects of the administration and conduct of BEC examinations which take place in the institution. The Head of Centre/Chief Invigilator may delegate the responsibility of Chief Invigilator to any appropriate personnel within the institution. However, the overall accountability of the administration remains with the Head of Centre.

Important Note: BEC offers free training for all Chief Invigilators through online/virtual training. Slides are produced for easy reference and to assist Chief Invigilators in training invigilators.

- **4.1.2** The training slides, guidelines and manuals are essential resource for new Chief Invigilators and are useful refresher for more experienced Chief Invigilators.
- **4.1.3** These guidelines refer to the conduct of examinations in all syllabuses. All correspondence relating to the examinations should be sent directly to the Chief Executive Officer at BEC.
- **4.1.4** The Chief Invigilator must make sure that he/she fully conversant with these regulations and is responsible for ensuring that they are correctly observed and applied. A copy of the guidelines should be readily available throughout the examination series.
- **4.1.5** The Chief Invigilator must satisfy him/herself that all candidates are fully conversant with **Appendix A EA/AA/G03/A01 –** *Notice to Candidates* and must ensure that they are fully observed.
- **4.1.6** In any emergency not provided for in these regulations, the Chief Invigilator should use his/her discretion, and report the matter immediately to the Director, Examinations and Assessments at BEC and the Director, regional operations in their area.

4.2 Head of Centre/ Chief Invigilator

The Head of Centre/Chief Invigilator is responsible for:

- **4.2.1** Being the liaison person between BEC and the centre;
- **4.2.2** Ensuring that the receipt and security of examination materials, distribution of the examination papers to the candidates, collection of scripts at the end of each examination and delivery of the scripts to BEC are all carried out in accordance with these regulations;
- **4.2.3** Ensuring that candidates are provided with all of the materials specified in the question paper and in any other additional materials list provided by BEC;

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- **4.2.4** The appointment of suitable invigilators and ensure that they are trained in order for them to familiarise themselves with the instructions contained in these regulations. Kindly make sure all the invigilators who attended the training workshop on invigilation in your centre, fills in and signs the attendance form for training and the Chief Invigilator mails it back to BEC before the start of the examinations.
- **4.2.5** The constant and effective supervision of the candidates;
- **4.2.6** The submission to BEC, in accordance with the instructions given when the information is requested, details of estimated and actual entries and any other information that BEC may reasonably require in relation to examinations conducted at the centre;
- **4.2.7** Ensuring that each candidate entered through the centre receives details of the dates and times of their examinations, the candidate's provisional results and any certificate issued by BEC;
- **4.2.8** The submission of any applications for changes to the venue or timetable in accordance with these Guidelines;
- **4.2.9** Making applications for Access Arrangements and Special Consideration in accordance with the Guidelines and guidance;
- 4.2.10 Providing suitable accommodation for candidates to sit the examinations;
- 4.2.11 Ensuring that all candidates in the examination, including private candidates, are identified;
- **4.2.12** Providing to BEC reports of any suspected or alleged cases of malpractice by a candidate or malpractice/maladministration by a member of staff discovered by the centre. The Chief Invigilator is further required to assist any investigation which ensues from any alleged malpractice either reported by the centre or suspected by BEC, and to provide such information and advice as BEC may reasonably require;
- 4.2.13 Submitting and processing of enquiries about results in accordance with the regulations;
- **4.2.14** Ensuring that BEC is notified when the centre changes premises;
- **4.2.15** Facilitate any inspection of the centre by or on behalf of BEC. BEC will carry out unannounced inspections when examinations are taking place and centres must co-operate with the inspector, including allowing access to secure storage. BEC may also inspect the arrangements for the secure storage of confidential materials at times when examinations are not taking place;
- 4.2.16 Where required, remit examination fees at the time specified by BEC;

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- **4.2.17** Inform the BEC about any candidates who might need any special consideration during the examinations;
- **4.2.18** Receive parcels containing question papers and answer sheets. As soon as they receive them, they should check to see if there is a sufficient number of question papers in each subject, and enough answer sheets as shown on the outside of each envelope. They should not open the envelopes containing the question papers. They may, however, open the envelope containing the answer sheets and check that they are enough. They will then wrap answer sheets and keep them in safe custody;
- **4.2.19** Check to see if they have the right examination parcels for the entire examination process;
- **4.2.20** Ensure proper conduct of the examination in accordance with instructions in this Guideline, and any other instructions which may be issued by BEC from time to time;
- **4.2.21** Provide adequate and suitable accommodation as well as sufficient and appropriate materials for the candidates;
- **4.2.22** Check and sign attendance registers for each of the examinations after completion by invigilators;
- **4.2.23** Ensure that all candidates are informed about the requirements of the examination well before the examination starts;
- **4.2.24** Ensure that invigilators at the centre understand their duties and conduct the examination properly in accordance with instructions in this Guideline;
- **4.2.25** Go through the Guidelines for Conduct of PSLE with invigilators to ensure common understanding by the invigilators. A mini workshop may have to be conducted for all the invigilators or teachers to ensure that examinations are administered in a fair and credible manner;
- **4.2.26** In case the examinations were received by another person during the absence of the Heads of Centre, they should check that all envelopes have been received and are intact;
- **4.2.27** Ensure that the examination room/s is/are designated for examination purposes only at that time. There should be no teaching aids, maps or papers hanging on ceilings or on walls of the rooms as this may assist candidates in answering questions. Examination rooms should be friendly and conducive for examination purposes;
- 4.2.28 The roving invigilator should be easily accessible to invigilators at all times;

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- **4.2.29** forwarding Statements of Results to candidates without delay;
- **4.2.30** Distribute all certificates to candidates without delay with a record kept of those issued. Where certificates are not handed to candidates personally, the Head of Centre is responsible for obtaining acknowledgement of receipt from the candidate;
- **4.2.31** Retain all unclaimed certificates under secure conditions for a minimum period of twenty-four (24) months from the date of issue. At the end of this period, unclaimed certificates should be returned to BEC.

4.3 Invigilators

Invigilators are required to conduct the examination in accordance with instructions contained in this Guidelines and any other instructions which may be issued by BEC.

- **4.3.1** The invigilators must move from one candidate to another to check each entry, i.e. NAME, CENTRE NAME, CENTRE NUMBER, STUDENT NUMBER and the SYLLABUS NAME.
- **4.3.2** Invigilators shall ensure that candidates understand and follow all instructions and regulations.
- **4.3.3** A day before the start of the examinations, invigilators should check that they have all the necessary materials for the examination and that envelopes containing question papers have not been opened.
- **4.3.4** Where there is suspicion that any of the envelopes could have been tampered with, the invigilators must notify the Chief Invigilator immediately who will then notify BEC.
- **4.3.5** Invigilators shall check that the seating arrangements for the candidates are suitable and that materials provided by the centre such as pencils, rubbers, rulers etc, are available in sufficient numbers.
- **4.3.6** Invigilators may not assist the candidates with answering examination questions. Once the candidates have begun answering the questions, the invigilator must not repeat instructions, nor explain difficult words, phrases or concepts contained in the questions. They should not in any way indicate to candidates whether the question has been answered correctly or incorrectly. In short, they must never assist or encourage the candidates towards the choice of an answer.
- **4.3.7** Invigilators must not bring cell phones, books, newspapers, magazines or any reading material into the examination room nor, write or read anything that is not in line with their duties while the examination is in progress.

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- **4.3.8** Invigilators shall maintain silence throughout the examination and even disallow whispered conversations as they may distract candidates.
- **4.3.9** Invigilators shall disengage from unnecessary conversation with non-invigilators outside or inside the examination room.

4.4 Rovers

Rovers are responsible for ensuring that invigilators and candidates get whatever assistance they require during the examination. The following are the duties of the rover:

- **4.4.1** take up a suitable position outside the examination rooms in order to provide assistance to the invigilators. Rovers may not be in the examination room unless summoned by the invigilator during the period of the examination. In no circumstance should rovers distribute question papers or stationery nor assist with the collection of candidates answer books following each examination.
- **4.4.2** ensure as far as possible that no disturbance occurs outside the examination room which could distract the candidates from their work.
- **4.4.3** must not leave their position for any reason other than when they are requested by the invigilator to summon for assistance from the Head of Centre or Chief Invigilator or accompany a candidate who has been given permission to leave the examination room temporarily.

4.5 Candidates

- **4.5.1** BEC does not communicate directly with school candidates about administration of examinations. Centres must communicate with candidates with respect to every aspect of BEC programmes, qualifications and assessments.
- **4.5.2** Centres must provide their candidates with:
- 4.5.2.1 the dates and times of their assessments;
- 4.5.2.2 confirmation and details of entry for each assessment;
- 4.5.2.3 confirmation and details for their provisional results for each assessment, and
- **4.5.2.4** every results and every certificate issued for them.

4.6 Inspections and Quality Assurance

4.6.1 Inspections are conducted to ensure that centres abide by the regulations and rules as set out in the guidelines to maintain the integrity of the examinations.

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- **4.6.2** The Centre must cooperate with and facilitate any visit of or an inspection BEC makes, either arranged or unannounced, including giving the inspectors access to secure storage area and examination area, even if examinations are taking place when the visit or inspection takes place.
- **4.6.3** The Centre must cooperate with any quality assurance activities that BEC may requests. Centres must respond within the specified timeframes and the answers must accurately describe the Centres premises, systems and procedures. BEC reserves the right to photograph the premises and materials relating to assessments including candidate work, as part of the inspection process.
- **4.6.4** BEC may carry out a re-inspection if a Centre changes premises or facilities, or requests to be approved to offer an additional qualification.
- **4.6.5** Usually the inspections are unannounced and take place during a series. However, BEC may inspect a centre outside the main examination period. A Centre may be inspected in any part of a centre's premises or systems or procedures connected with the delivery of the assessment.
- **4.6.6** BEC may withdraw centre status if the inspection indicates that the Centre does not meet the standards or regulations.
- **4.6.7** Inspectors must be accompanied by a member of the Centre staff at all times during their visit.
- **4.6.8** In addition to Inspections, Centres must assist BEC in carrying out any reasonable monitoring activities necessary for the secure and effective delivery of assessment.

4.7 Examination Administration

- **4.7.1** To make sure examinations are administered effectively, Centres must abide by the deadlines set out in this guidelines or related documents or otherwise communicated by BEC, send or capture in Malepa application:
- (a) Personal details of candidates.
- (b) For application of Special Consideration centres must follow the regulations set out in **BEC/EAC/G01** Access Arrangement and Special Consideration; A Guide for Centres.
- **4.7.2** Centres must keep the examination materials secure at all times after they have received them.
- **4.7.3** Centres must check that they have received the correct version of materials for the series.
- **4.7.4** Centres must carry out the following in accordance with the guidelines:

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- (a) Secure storage of all examination material.
- (b) Distribution of examination papers to candidates.
- (c) Collection of scripts, question papers and any other material at the end of each examination and secure return of scripts.
- **4.7.5** The Head of Centre must appoint suitable invigilators and must make sure examinations are carried out in accordance with these Guidelines:
- **4.7.6** Candidates must be constantly and effectively supervised during any examination.
- **4.7.7** The centre must work with BEC to prevent malpractice and in particular, the Centre must:
- (a) make sure candidates are aware of restricted materials not allowed in the examination room.
- (b) immediately report any established suspected or alleged cases of malpractice.
- (c) assist in any investigation into suspected malpractice
- (d) promptly provide information and advice regarding suspected malpractice
- (e) promptly provide information and advice BEC may require to assist with any investigation
- **4.7.8** The Centre must submit and process any enquiries about results or appeals in accordance with the regulations set out in these guidelines.
- **4.7.9** Centres must keep unclaimed certificates under secure conditions for a minimum of 24 months from date of issue and not destroy unclaimed certificates.

4.8 Support and Communication with BEC

4.8.1 Correspondence with BEC

- **4.8.1.1** All correspondence between Centres and BEC must be in English. This applies even when the assessments are offered in a language other than English.
- **4.8.1.2** The Head of Centre or the designated Chief Invigilator may sign letters from the Centre to Botswana Examinations Council. Legal notices must be signed by the responsible person.
- **4.8.1.3** When Centres receive a communication or an email from BEC, which requires a response, they must respond within the specified time frame.
- 4.8.1.4 Centres must include the following in all messages to BEC;
 - (a) Centre Name and Centre Number

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(b) Name, position and title of the member of staff sending the message and for continuing correspondence. The reference number included in BEC initial response should be reflected.

4.8.2 Communication Method and Details

4.8.2.1 Written

- (a) For general administrative queries, BEC prefers Centres to use formal written correspondence addressed to the Chief Executive Officer at: Botswana Examinations Council P/bag 0070, Gaborone
- (b) Where possible please supply and communicate using a specific email address that is connected to a particular role at the Centre, for example; Bokgonischool@gov.bw or a web based email (ChiefInvigilator@gmail.com).

4.8.2.2 Telephone communication

Telephone:3650700Fax:3164203/3185011Toll Free No:0800601025.

4.8.2.3 Software and Online Services

The Head of Centre especially for Private Schools who were trained in the use of Malepa system, must make sure that the user names and passwords issued are only given to staff authorised to access the relevant online services or software. For Centres that do not comply BEC may suspend all services with the Centre or withdraw their access rights. For Online Services, contact BEC at:

Malepa support malepasupport@bec.co.bw 3650860/888/855

Website: <u>www.bec.co.bw</u> Facebook: Botswana Examinations Council Twitter: @ Bots _Examinations

IMPORTANT NOTE: BEC does not accept responsibility for any misinterpretation of information, advice or guidance given by telephone.

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4.9 Ownership of Question Papers

All BEC examination question papers remain BEC property. Centres can use the paper after the examination, in accordance with either:

- (a) the 24-hour Security rule (see section 8.12 of these Guidelines) or
- (b) regulations specific to the conduct of a particular component.

BEC retain all intellectual property rights to the question papers.

4.10Copyright in Examination Material

BEC assert its ownership in the copyright and all other intellectual property rights in all the material which BEC delivers to Centres including syllabuses, question papers and other material relating to the programmes and qualifications, except where BEC have attributed work to a third party. Centres may reproduce copies of past question papers and other material for which BEC hold copyright, for internal purposes provided that copies are:

- **4.10.1** limited to one copy per candidate entered for the syllabus.
- **4.10.2** used exclusively by candidates at the centre in connection with their class work or internal assessment.
- **4.10.3** not offered for sale or distribution under any circumstance.
- **4.10.4** reproduced with all BEC copyright symbols, trademarks acknowledgement and notices intact.
- **4.10.5** not passed to any other third party.
- **4.10.6** Centres must not distribute past question papers electronically, including websites, even if they are only accessible over intranet.
- **4.10.7** if staff at centres wants to use material owned by BEC in any publications which they are developing, they must ask for permission to do so.

4.11Ownership of Assessed Material

All material submitted for assessment will become BEC physical property. BEC does not return examination scripts to Centres.

4.12Declaration of Interest

Heads of Centres/ Chief Invigilators whose children or close relatives will be sitting for the examinations should declare their interest by completing **BEC/EAC/G01/F01** – *Form H - Declaration of Interest PSLE/JCE/BGCSE* and send the completed form to the Chief Executive Officer, Botswana Examinations Council (BEC) and copy the correspondence to Regional Directors. All invigilators whose children or close relatives will be sitting for the examinations should declare their interest by writing to the Head of Centre/ Chief Invigilator.

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4.13General Data Protection Regulations

We take the protection of personal data seriously. In this section, processing personal data and sensitive personal data have the meaning referred to below.

- **4.13.1** You must make sure that all your candidates (if 16 years or over) and their parents/guardians understand that we will use their personal data (for example, their names, candidate numbers_ and where relevant video evidence of candidate performance for the purposes of delivering our qualification through your centre, including processing exam entries and results, marking exam scripts, issuing certificates, processing enquiries about results and their parents/guardians understand that we will use their sensitive personal data for the purposes of processing these applications. You must also obtain their consent for such use. You must make sure you keep a record of the consent given, even if that consent is only given orally. That record must be made available to us when we ask for it.
- **4.13.2** You must make sure that all your candidates (if 16 years or over investigating cases of malpractice. You must also make sure that when applications for Access Arrangements, Special Consideration or the withdrawal of entries are made, candidates (if 16 years or over) and) and their parents/guardians understand that we will process their personal data for the following legitimate business purposes:
- **4.13.2.1** To carry out research, standards setting and other activities that are related to the business of delivering qualifications (including assessments), all of which are aimed at ensuring delivery, as well as integrity of our qualifications and protection of candidates.
- **4.13.2.2** To understand the needs of candidates and other users of our qualifications and to carry out marketing.
- **4.13.2.3** To provide training to those involved in the provision of educational services in relation to our qualifications, for example, teachers and examining personnel.
- **4.13.2.4** For internal business purposes, including management of risks, protecting the security of personal data in our possession and carrying out internal record-keeping and audits.
- **4.13.2.5** To share the personal data with other parts of our organisation, including agents (e.g Student Placement Department) and representatives which process the personal data on our behalf for the purposes described in these guidelines.
- **4.13.2.6** To share the personal data with universities and college admissions services and in order to facilitate the candidates' relevant application.
- **4.13.2.7** To comply with applicable law or a court order or governmental regulation or for the purpose Page **23** of **81**

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of any criminal or other legal investigation or proceeding here or abroad.

- **4.13.2.8** You must make sure that candidates or their parents/guardians as appropriate, understand that Botswana Examinations Council may use anonymised data (that is, data that does not identify a candidate) and pseudonymised data (that is, data that is anonymous to the people who receive it) for research purposes and may share that data with third parties also for research purposes. The third party recipients of candidate data are required to abide by strict data protection principles in their handling of the data and are also bound by a duty of confidentiality.
- **4.13.3** You must make sure that all candidates or their parents/guardians as appropriate understand that Botswana Examinations Council may transfer candidate data outside of Botswana, for example, for the processing of entries and results.

5. Examination Timetables

- **5.1** The final timetable will be issued to all examination centres by the month of May. All centres should ensure that they have the final timetable by mid-June.
- 5.2 All centres should follow the final timetable for the Primary School Leaving Examination. UNDER NO CIRCUMSTANCES SHOULD THE TIMETABLE FROM THE PREVIOUS YEAR BE USED.
- **5.3** Centres are advised to display copies of the timetable in the examination room/rooms throughout the duration of the examination including the room where the question papers are stored.
- **5.4** In order to eliminate chances of opening question papers at the wrong time, question paper packets should be checked against the timetable and be placed in timetable order. Should a wrong paper be opened, the following steps should be taken:
- **5.5** If the error is noticed before the question papers are distributed to the candidates, the Head of Centre/Chief Invigilator should be informed immediately. The packet /packets containing the question papers should be sealed in the presence of the Chief Invigilator and the candidates.
- **5.6** The Chief Invigilator should inform Centre Quality Assurance Office or the Director (AA) at BEC and the Regional Director in the respective area immediately by phone, followed by a written report.
- **5.7** In the case where question papers have already been distributed to candidates, the question papers should be collected, numbers confirmed and the packet sealed immediately in the

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presence of the Chief Invigilator and the candidates. The Chief Invigilator should then append their signature and inform BEC immediately for further instruction. The Chief Invigilator should then write a report and fax or email it to BEC.

6. **Preparations for Examinations**

6.1 Receipt of Question Papers

- **6.1.1** Question papers and other materials such as answer sheets will be delivered to centres.
- **6.1.2** The Chief Invigilator will be required to sign the delivery receipt form to confirm receipt of the materials. The question papers are delivered in boxes which are marked with the centre name and each box is numbered. The Chief Invigilator is not required to count the question papers but is required to sign the delivery receipt form to confirm receipt of a total number of boxes with numbers in a certain range.
- **6.1.3** Question paper packets and examination materials should be carefully checked on receipt and BEC notified immediately if there are any problems such as:
- 6.1.3.1 discrepancies between the materials received and the delivery note or despatch note;
- 6.1.3.2 materials received appear not to meet the centre's requirements;
- 6.1.3.3 materials have been significantly damaged in transit;
- 6.1.3.4 there appears to have been a possible breach of security whilst the material was in transit;
- **6.1.3.5** the seal of a question paper packet is not intact or there is evidence that a seal may have been tampered with;
- 6.1.3.6 there has been an unexpected delay in delivering the question papers.
- **6.1.4** Immediately after receipt of the boxes containing the question papers, Chief Invigilators should make a thorough check to ensure that there are sufficient question papers for each of the examinations which will take place in the centre. Question paper packets should also be checked against the checklists provided by BEC and arranged in timetable date order in the secure store so as to reduce the likelihood of opening a packet of question papers at the wrong time. Any discrepancies or any other problem should be reported immediately to BEC.
- **6.1.5** The packets of question papers and other examination materials must not be opened until the time appointed for the examination, except where BEC instructs otherwise.

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6.2 Receipt of other Materials

- **6.2.1** Other materials will be delivered at the same time as the question papers. The details are as follows:
 - (a) Attendance registers
 - (b) Return envelopes
 - (c) Multiple-choice answer sheets
 - (d) Hard boards
 - (e) Rubber bands
 - (f) Delivery note
- **6.2.2** The Chief Invigilator should check to make sure that the quantities of the materials listed above are sufficient to meet the Centre's needs. Answer sheets and other examination stationery should be regarded as confidential, and once the quantities have been checked, the materials must be placed securely with the question papers.

6.3 Secure Storage of Examination Materials

- 6.3.1 Question papers, scripts and examination stationery must be stored securely at all times. Refer to EA/AA/Man01 Manual for Standards for Secure Storage of Examinations Materials at BEC Centres. Acceptable arrangements include:
- **6.3.2** A strong room without windows protected by a door with a high quality lock and a concrete ceiling or roof;
- **6.3.3** A fixed reinforced metal cabinet or other similar container which is contained in a room with any windows protected by burglar bars and a concrete ceiling or roof. The room would be accessed through a strong door fitted with a good quality lock.
- **6.3.4** An ordinary lockable filing cabinet in a standard office is not to be regarded as satisfactory.
- 6.3.5 Access to the strong room should be a strong safe or non-portable reinforced steel or metal cabinet or other similar container must be used. The cabinet must be fixed to the ground /wall and must be encased in sufficiently strong metal bars. The doors must have robust lockable bars. Robust locks like double throw 5- lever locks must be used to secure both the room and the cabinet. Refer to EA/AA/Man01 Manual for Standards for Secure Storage of Examination Materials at BEC Centres.
- **6.3.6** Access to the strong room must be restricted to a minimum of two (2) and a maximum of three (3) holders.

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- **6.3.7** Keys to the examination storage facilities must be handled by a maximum of three officers, being the Chief Invigilator and any appointed member. It is up to the Head of Centre to nominate an appropriate member of staff to be a key holder. Only key holders should have access to the secure room and to the secure container holding the question papers and confidential material.
- **6.3.8** Each key holder should be able to access the examination material independently, in case the other key holders are not available for unexpected reasons.
- **6.3.9** Keep the keys and any access code to both the secure container and the room in a safe place where unauthorised people cannot access them, for example, a small metal key safe with a combination lock.
- **6.3.10** BEC must be informed immediately if the security of the question papers or confidential instructions is at risk through fire, theft, loss, damage, unauthorised disclosure or any other circumstances.
- **6.3.11** The packets of question papers and other examination materials must not be opened until the time appointed for the examination, except where BEC instructs otherwise.
- **6.3.12** Where a Centre conducts examinations for the same component in more than one room, it is permissible to open a packet of question papers in one of the rooms, in the presence of the candidates, and to take some of the papers to the other room(s) on the same site.
- **6.3.13** However, it is not permissible to open the packet of question papers earlier than the time appointed for the examination in order to take some of the papers to another site. Under these circumstances, the Centre should make arrangements with BEC for separate packets of question papers to be supplied.
- **6.3.14** Where confidential material is pre-recorded on tapes or other media such as CD-ROM, arrangements must be made, prior to the examination, for such material to be tested according to the instructions issued by BEC and any deficiencies notified immediately to BEC.
- **6.3.15** Examination stationery and materials must be stored securely at all times so that no unauthorised persons have access to them.

6.4 Delivery Note

6.4.1 The delivery note is used to verify the list and count of boxes of question papers, stationery, including multiple choice answer sheets and script return envelopes. The Chief and Senior Invigilator/Invigilator should verify the item and the count listed in the delivery note against materials received and provide comments where necessary.

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- 6.4.2 The Chief and the Senior Invigilator should **SIGN and DATE** the form as provided at the bottom.
- **6.4.3** A copy of the signed records of Delivery notes should be sent to BEC within a week of receipt of examination materials, while the original notes are kept by the centre.

6.5 Despatch Note

- **6.5.1** These are documents confirming details of what the centres submit to BEC. The Chief and Senior invigilators should complete a despatch note indicating the packets enclosed in the consignment to BEC after the examinations.
- **6.5.2** A copy of signed despatch notes should be pasted on the outside of the despatch box and submitted to the Courier for delivery to BEC.
- **6.5.3** A key list of stationery provided by BEC is to be used as a guide to check materials that are required for each component.
- **6.5.4** BEC should be notified immediately if there are discrepancies such as:
 - (a) Differences between the material received and the delivery note;
 - (b) Shortage of material received against centre's requirements;
 - (c) Material that has been significantly damaged in transit;
 - (d) Faulty media on which pre-recorded confidential material is contained. e.g. CDs
 - (e) There seems to have been an excessive delay in the delivery of question papers;
 - (f) The material is for another centre or does not meet your requirements;
 - (g) The seal of any question paper packet is not intact or there is any indication of tampering;
 - (h) A question paper packet has been opened before the examination.
- **6.5.5** Centres should keep the confidential materials secure during these checks, until they are transferred to secure storage.
- **6.5.6** The testing of the CDs should be done by the Chief Invigilator and a Senior Invigilator. Syllabus specialists are not allowed to test the CDs to avoid conflicts of interest.
- **6.5.7** Erratum notices must be opened as soon as they arrive, unless otherwise stated on the envelope. Once read they must be tied securely to the syllabus component they address to avoid forgetting them when the examinations are written. They should be stored in the secure storage until the timetabled date and time of the examination.

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6.6 Materials for the Examination

- **6.6.1** All materials for each part of the examination, including question papers, will be delivered in good time to enable the Chief Invigilators to check and inform BEC of discrepancies before the examination date.
- **6.6.2** All examination materials must be thoroughly checked and kept under lock and key in a secure place to which only the Chief Invigilator has access.
- **6.6.3** The Chief Invigilator will be held responsible for any interference with or leakage of examination information and for the disappearance of any examination materials for their centre before and during the examination. Any such instances will be thoroughly investigated and where appropriate, charges will be laid against the culprit and this may lead to prosecution.
- **6.6.4** The Chief Invigilator must ascertain that each subject's answer sheets have been delivered and verify that they are enough for their candidates.
- **6.6.5** The following materials will be supplied to each centre by BEC: question papers, answer sheets, attendance registers, return envelopes, candidates' registration list and boards.
- **6.6.6** The following materials must be supplied by the centre to each invigilator and for each examination room: A reliable visible clock or watch, a board and chalk, a pencil sharpener, pair of scissors, HB pencils, rubbers, lined paper or blank sheets of papers.
- **6.6.7** The following materials are **not** allowed into the examination room: calculators, cell phones, pagers, watches with calculators and any other materials not included in this Guideline.
- **6.6.8** For the composition and letter writing examinations, candidates must use a blue or black pen. **Pencils should not be used.**

7. Preparing the Exam Room

7.1 Examinations Venue

- **7.1.1** All candidates must sit for the examination at the Centre unless prior permission has been obtained from BEC for candidates to take the examination elsewhere. Any room in which an examination is conducted must provide candidates with appropriate conditions for an examination.
- **7.1.2** Ideally candidates should not be exposed to noise from outside of the examination room, and the Centre should do all it can to minimise any such noise. The Centre should, for example, manage the movement of students in the vicinity of the examination room. There may be

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sources of noise over which the Centre has no control. For example, there is very little the Chief Invigilator can do about traffic noise from a nearly road, and in such circumstances the Centre may not be criticised.

- **7.1.3** The examination rooms should have adequate lighting in all areas in which candidates are sitting. Ideally, the temperature in the examination rooms should be reasonable. However, BEC appreciates that in many cases this may be difficult to achieve, and Centres may not be criticised for conditions which are beyond their control. In particular, BEC appreciates that the temperature in the examination room may be much the same as in the classrooms in which the candidates have been taught, and they will be familiar with the conditions.
- **7.1.4 EA/AA/G03/A01 -** *Notice to Candidates* should be displayed in a number of locations. In small Centres, the notice should be displayed both inside and outside the examination rooms. In larger Centres where several rooms are used, it may be difficult to display the notice in all of the rooms, and in these cases, the notice should be displayed in a number of prominent locations. The Chief Invigilator should ensure that all candidates have the opportunity of reading the notice (See Appendix A of these Guidelines).
- **7.1.5** Display material (e.g. maps, diagrams, wall charts) which might be helpful to candidates must not be visible in the examination room. Ideally, all materials should be removed with the possible exception of the Notice to Candidates and perhaps a copy of the Seating Plan.
- **7.1.6** A reliable clock must be visible to each candidate in the examination room. In large examination rooms such as multi-purpose halls, several clocks should be displayed at strategic points. Regular checks should be carried out to ensure that all clocks are in good working order.
- **7.1.7** The centre number, and the start and finish times of each examination, must be displayed and visible to all candidates.

7.2 Notice to Candidates

Appendix A – EA/AA/G01/A01 - Notice to candidates must be displayed both inside and outside of each examination room. Each and every candidate should be provided with a copy of the Notice to Candidates before the examination.

7.3 Seating Arrangements

7.3.1 The distance between the centres of adjacent desks must each be at least 1.25 metres.

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- **7.3.2** Desks should be able to provide sufficient space for the question paper, answer booklet and other materials.
- **7.3.3** The spacing of the desks must be such that it is impossible for one candidate to look over the work of another, either intentionally or otherwise. In order to achieve this, the distance between the centre of one desk and the centre of adjacent desks must be at least 1.25 metres. The spacing of desks in each row and the distance between the rows must be sufficient to achieve this minimum separation. See diagram below:



- **7.3.4** As part of the preparation for an examination, the Chief Invigilator should ensure that desks are equally spaced in all directions. Candidates should be instructed not to move the desks during the course of the examination. Centres should be aware that BEC regards the adequate spacing of desks as important, and the Chief Invigilator must make arrangements which allow the minimum separation to be achieved.
- **7.3.5** As part of the preparation for the examination, cards showing the candidate numbers should be placed on the desks. Desks should be allocated only to candidates who are registered for the paper, and candidates should be seated in candidate number order. In this way it will be easy to identify candidates who did not register for the paper.
- **7.3.6** Any candidate suffering from an infectious or contagious disease must take the examination in a separate room in which all regulations can be applied. The candidate's script must be kept

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separate from other scripts from the centre and it must not be despatched until advice has been sought from BEC.

7.4 Invigilators Arrangements

Important: There must be at least one invigilator present for every 35 candidates.

- **7.4.1** The Chief Invigilator must ensure that invigilation is carried out by suitably qualified and experienced adults. Whilst the Chief Invigilator has discretion to decide who is suitably qualified and experienced, any relative of a candidate in the examination room is specifically not eligible to serve as the sole invigilator. The Chief Invigilator must ensure that invigilators are fully briefed and trained before carrying out the task of invigilation.
- **7.4.2** Invigilators must give their whole attention to the proper conduct of the examination. Invigilators are not to perform any additional task in the examination room except invigilation.
- **7.4.3** Sufficient invigilators must be appointed to ensure that the examination is conducted in accordance with the following requirements:
 - (a) At least one invigilator must be present for every 35 candidates. Arrangements must be such that each candidate in the examination room can be observed by an invigilator at all times.
 - (b) The Chief Invigilator must make arrangements to ensure that no examination room is left unattended at any time. In particular, when only one invigilator is present in an examination room, they must be able to summon assistance easily without leaving the examination room and without disturbing the candidates. A rover should be readily available to assist the invigilator.
 - (c) A teacher who has prepared the candidates for the subject of the examination during the academic year of the examination should not invigilate a written examination in that subject.
 - (d) A copy of this booklet must be available to each invigilator in the examination room, and the invigilators must be familiar with the Notice to Candidates and any specific regulations relating to the subjects being examined.
- 7.4.4 Centres must keep records of the invigilation arrangements for each examination session, and the Chief Invigilator should ensure that each invigilator signs EA/AA/G01/A07 Certificate of Invigilation. A copy of the Certificate of Invigilation Certificate has been provided as Appendix G, EA/AA/G01/A07 Certificate of Invigilation. Completed Certificates of Invigilation should be retained in the Centre or returned according to instructions issued by BEC.

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- **7.4.5** Chief Invigilators should make arrangements for seating plans to be prepared in each of the rooms being used for the examination.
- **7.4.6** EA/AA/G01/A03 Checklist for Invigilators has been included in the guidelines as Appendix C. The checklist summarises the duties and responsibilities of invigilators.

7.5 Examination Administration for Candidates with Special Needs

- **7.5.1** If a candidate falls sick some days before the examination starts and is admitted to a hospital, the Chief Invigilator must inform BEC and complete the application for special consideration form. Arrangements can be made with the hospital so that the candidate sits the examination at the hospital or at a nearby school.
- **7.5.2** The answer sheets for such a candidate must be sent separately (if the candidate sat the examination at the hospital) or together with the answer sheets of the school where the candidate sat for the examination but under a separate cover.
- **7.5.3** A report on the candidate should then be written providing detail of the examination.
- 7.5.4 For candidates who write the examination under adverse conditions like illness, bereavement, the BEC/EAC/G01 Access Arrangements and Special Consideration A Guide for Centres should be consulted and procedures followed.
- **7.5.5** Centres that have requested for oral response format and the use of a scribe/amanuensis must record the responses on a standard tape or CD/DVD in addition to producing a written script. Furthermore, a writer coversheet must be completed and sent back to BEC.

NB. No examination will be presented orally without recording the candidates' responses.

- **7.5.6** If the candidate's disability and/or the nature of the special arrangement might disturb other candidates during an examination, the candidate must take the examination in a separate room and be supervised according to the regulations governing the conduct of examinations.
- **7.5.7** The candidate must be familiar with any special equipment authorised for use in an examination. If support from an amanuensis, a reader, prompter, practical assistant or communicator is required, the candidate must practice with the person acting in this capacity before the examination.

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- **7.5.8** The centre is responsible for ensuring that all equipment authorised for a candidate with special assessment needs functions correctly and that there is a member of staff who is familiar with its use.
- **7.5.9** Any difficulties that arise from the nature of the special arrangements, or any unforeseen difficulties encountered by the candidate, should be reported to BEC.
- **7.5.10** The Head of Centre is responsible for selecting a Writer (Scribe) or reader for approved candidates with special needs. The Scribe must write answers exactly as dictated by the candidate.
- **7.5.11** A Writer and/or Reader must be a responsible adult who is able to produce an accurate record of the answers dictated by the candidate. The person must be able to write legibly. In the case of scientific, mathematical or technical subjects, the writer should have a good working knowledge of the subject being examined.
- **7.5.12** It is not permissible for the Writer or Reader to also act as the Invigilator.
- **7.5.13** Sign language may be used to assist candidates to read the questions but must not be used to explain what the question is asking the candidate to do. The communication of answers (e.g. from a candidate to a writer) is not permissible.
- **7.5.14** Assistive technology devices such as computers should be approved in advance by BEC. When used in an examination, BEC expects a computer to be used as a basic word processor. If other facilities are to be used, BEC will need to be made aware of the hardware and software which the candidate proposes to use. This must include details of the candidate's access to facilities such as thesauruses, calculators, etc. Before approval can be given, BEC must be able to determine what effect, if any, use of the computer might have the reliability of the assessment of the candidate in each subject for which the candidate is entered.

7.6 Packaging of Special Needs Scripts

- **7.6.1** Specialised envelopes will be provided for centres to package scripts of candidates who have been approved the following arrangements;
 - (a) The use of a Reader with extra-time
 - (b) Modified Learning Disability and Hearing Impairment scripts.
 - (c) Braille Scripts
 - (d) Extra-time of 30% and above
 - (e) Large print Multiple Choice answers indicated on the question paper.

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Note: It is important to note however, that unnecessary separation of candidates' scripts should be avoided and clarity must be obtained from the BEC special needs office. Multiple Choice answer sheets should not be separated and if this cannot be avoided, the answer sheets must be packaged between hardboards, placed in the correct envelope and correctly labelled.

7.7 Labelling of Envelopes Containing Scripts of Candidates with Special Needs

Special needs envelopes containing scripts of candidates with special needs should be counted amongst the envelopes for the centre for a particular syllabus component. These envelopes should not be labelled as 1/1 but rather as 1 of the total number of envelopes for the particular centre. The envelopes for the centre should be secured together and sent back to BEC as one consignment. For further clarification, please contact the special needs office at 3650700/831/896/739

7.8 At the Beginning of the Examination

When the scheduled time arrives, invigilators open the doors and admit candidates. If there are candidates who have made a late transfer in, they should be assigned the last candidate number, their names should be written at the bottom of the attendance register and the Chief Invigilator must notify BEC. They should not use the numbers they were given at their previous centres.

7.8.1 Identification of Candidates

The invigilators must be satisfied as to the identity of every candidate attending each examination, and arrangements must be made to carry out adequate checks whenever it is necessary to do so. In the case of small Centres, candidates who are in attendance at the school will be known to the invigilators and identification of these candidates is probably unnecessary. In larger schools, this might not be the case, and checks on the identity of candidates should be carried out. All private candidates must be positively identified by means of a national identity card or passport. The evidence must be presented each time they attend an examination.

7.9 Completing the Attendance Registers

- **7.9.1** Centres will receive a computer listing of the candidates registered for each examination which is to be used as an attendance register. The invigilator must complete the attendance register during the course of the examination in accordance with the instructions provided. If a candidate who is present for the examination is not shown on the attendance register, the candidate's details must be added, again according to the instructions provided.
- **7.9.2** For each examination, the Chief Invigilator must ensure that the absent candidates are carefully marked according to the instructions in the Guidelines.

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- **7.9.3** The attendance register should be completed at least thirty minutes after the examination has been in progress so that late arrivals can be accommodated. When all candidates are seated, the invigilator should make an exact count of those actually present and compare the count with the number in the attendance register. Candidates who did not sit for the examination should be marked ABSENT or marked 'X' in the attendance register. Under no circumstances should the attendance register be completed after the candidates have left the examination room.
- **7.9.4** Completed attendance registers should be sent to BEC immediately after the last examination has taken place. The attendance registers should not be enclosed with the scripts, but rather packaged separately and dispatched with scripts. The candidate numbers of absent candidates should also be listed in the space provided on the script return envelope. Where more than one envelope is used, all candidates should be listed on the first envelope. Where all candidates are absent for an examination, an empty envelope on which the absent candidates have been listed by candidate number should be submitted to BEC.

7.10 Seating Plan

- **7.10.1** Centres must produce a seating plan for each examination. A seating plan is a diagram that outlines how the examination room is set up. It shows the positions of tables in the room, the position of each chair and where each candidate is seated. It shows the position of the invigilator's desk and the direction candidates are facing in the examination room.
- **7.10.2** Centres must keep signed records of the seating plan and invigilation timetable for each examination session. We may require the seating plan and invigilation records at any time up to the end of results enquiry period. The records should be kept securely at the Centre until end of June following the examination series.

7.10.3 Completion of Seating Plan

The invigilator's table, (on which the testing materials must be laid out), should be at the front of the candidates.
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An example of a seating plan



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7.11 Unauthorised Entries

- **7.11.1** If a candidate arrives for an examination they have not been entered for, you can allow them to take the examination providing that:
- **7.11.2** The candidate is able to take all the components required for the syllabus option they wish to enter. This means you must have enough spare question papers so the candidates can sit all the components required for the syllabus option at the appropriate time, not just the specific examination.
- **7.11.3** You add them to the attendance register for each component they take that they were not previously entered for. Once they have completed all the components required for the entry, contact us immediately.
- **7.11.4** We will then mark the candidate's script, enter them for the entry option and issue a grade in the syllabus. You will be charged a late entry for any unauthorised entries.
- **7.11.5** If you do not have enough spare question papers for each of the relevant components, contact us immediately and we may be able to send you extra question papers. Each request will be considered on a case by case basis.
- 7.11.6 We reserve the right to decline any request received after the late entry deadline, if it is not possible for us to despatch the extra question papers in time for the examinations. In this case you must tell the candidate they cannot sit the examination as they have not been entered for it. You **must not** photocopy question papers.

7.12 Authorised Personnel

Only persons authorised by the Head of Centre are to be allowed in the examination room. **EA/AA/G01/A01 - Examination Room Entry Register** should be used to capture details of personnel that enter the examination room during a live examination session.

7.13 Unauthorised Persons

The invigilator must not allow unauthorised persons to enter the room where an examination is in progress, nor allow the question papers to be read by anyone other than the candidates until twenty-four hours after the administration of that examination. If the Head of Centre appoints another person as Chief Invigilator, the previous Chief Invigilator becomes an unauthorised person. Only persons authorised by the Heads of Centres are allowed in the examination room.

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7.14 Authorised and Unauthorised Materials

It is essential that you make sure candidates do not bring unauthorised materials in the examination room.

7.14.1 Authorised Materials

Candidates may take into the examination room only those articles, instruments or materials which are expressly permitted in the rubric of the question paper, stationery list or in the syllabus booklet for the syllabus being examined.

7.14.2 Unauthorised Materials

Unauthorised materials are those that potentially enable candidates to conceal or access information in the examination room. Having unauthorised materials in the examination room is a breach of examination regulations and may be considered malpractice. You and your invigilators must be alert to candidates attempting to bring unauthorised material in the examination room and must report all instances to us. Unauthorised materials include:

- (a) calculator cases
- (b) instruction leaflets
- (c) dictionaries (except where the rubric specifies)
- (d) bags
- (e) non-transparent pencil cases
- (f) mobile phones
- (g) camera
- (h) Bluetooth headsets
- (i) Tablet
- (j) computers
- (k) smart watches, or
- (I) any watch capable of electronic storage or communication or
- (m) any other electronic devices that enable external communication or the storage and retrieval of data should not be brought into the examination room.
- (n) Other watches can be worn at the discretion of the centre.

If a centre allows watches, and it is not practical to check them before the examination, candidates can place their watches on their desks so invigilators can check them as they move around the examination room. This list does not include every possible item that will be considered "unauthorised".

The centre should use their judgement for any items not on the list above by checking whether the item potentially enables a candidate to conceal or access information in the examination room.

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All **electronic** items, including cell phones and Bluetooth devices, must be switched off and left outside the examination room.

7.15 Bringing Food into the Exam room

The Head of Centre can decide whether to allow candidates with certain conditions like Diabetes to bring food and drink into the exam room. If they decide to allow this, they must make sure it is done in accordance with BEC regulations on packaging and labelling and that other candidates are not disturbed.

IMPORTANT NOTE: Other types of unauthorised material must either be left outside or handed to the invigilator before the examination begins. Materials handed to the invigilator must be placed out of reach and sight of the candidates.

7.16 Stationery and Other Materials

The invigilator must take all reasonable steps to ensure that:

- **7.16.1** The official examination stationery for the particular subject examination is issued to candidates and that no other stationery, including paper for rough work, is provided.
- **7.16.2** Candidates take into the examination room only materials which are required for the examination. Such materials include pens, pencils, and erasers.
- **7.16.3** In the case of objective tests, invigilators must make sure that all candidates have an HB pencil and an eraser.
- **7.16.4** If unauthorised materials have been taken into the examination room, such materials must be placed out of reach of the candidates before the examination commences.
- **7.16.5** In the case of objective tests, candidates must be provided with a pre-printed answer sheet.
- **7.16.6** In case of personalised answer sheets each individual answer sheet must be given to the candidate whose name appears on it and the Invigilator must ensure that the answer sheets relate to the subject and the component concerned.
- **7.16.7** There are no circumstances in which an answer sheet can be used by any other candidate. Personalised answer sheets cannot be transferred from one candidate to another or from one component to another.
- **7.16.8** Candidates who might not have personalised answer sheets should be provided with blank answer sheets.

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7.17 Collecting the Question Paper to Administer to Candidates

- **7.17.1** The Chief Invigilator and Invigilator check to confirm the component to be written on the timetable in the secure storage room.
- **7.17.2** The examination team/committee confirms the number of question paper packets that should be picked for that particular date and time.
- **7.17.3** The Chief Invigilator collects and confirms against the timetable the date on question paper packets.
- 7.17.4 Chief Invigilator passes over the packets to the Invigilator to verify the date and time.
- **7.17.5** The Chief Invigilator and Invigilator should **sign** on the timetable against the component to indicate that verification was done and **that they have satisfied themselves that they have the right question paper before they leave the secure storage room**.
- **7.17.6** The date and time of verification should be next to the signature on the timetable.
- 7.17.7 The Chief Invigilator and Invigilator take the packets to the examination rooms.

7.18 Distribution of Question Papers, Answer Sheets and Other Materials to candidates

The invigilator must take all reasonable steps to ensure that:

- **7.18.1** the official examination stationery for the particular subject examination is issued to candidates and that no other stationery, including paper for rough work, is provided.
- **7.18.2** in the case of objective examinations, invigilators must make sure that all candidates have an HB pencil and an eraser.
- **7.18.3** if unauthorised materials have been taken into the examination room, such materials must be placed out of reach of the candidates before the examination commences.
- **7.18.4** in the case of objective examinations, candidates must be provided with a pre-printed answer sheet. The answer sheets are personalised with the syllabus, component, Centre number and candidate number. So, each individual answer sheet must be given to the candidate whose name appears on it, and that the invigilator must ensure that the answer sheets relate to the subject and the component concerned. Under no circumstances should an answer sheet be used by a candidate other than the one specified or for the component. So, answer sheets cannot be transferred from one candidate to another or from one component to

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another. Candidates who might not have personalised answer sheets should be provided with un-personalised answer sheets.

7.19 Checking Question Paper Packets in the Examination Room

- **7.19.1** The invigilator announces;
 - (a) the syllabus name
 - (b) the component code
 - (c) duration of the paper
- **7.19.2** The Invigilator holds each packet of question papers out to the candidates to confirm that the packets have not been tampered with.
- **7.19.3** The invigilator hands over the question paper packets to a number of candidates to verify that it is the correct component for the date and time and that the packets have not been tampered with.
- **7.19.4** The candidates verify and append their names and signatures and date against the component name.
- **7.19.5** The invigilator collects the question paper packets from the candidates once verification is done.
- **7.19.6** The Invigilator makes the final verification and signs next to the candidates' signatures.
- 7.19.7 The Invigilator neatly cuts open the question paper packets using a pair of scissors.

7.19.8 The Invigilator distributes question papers accordingly to the candidates in number order.

Note: It is the responsibility of the Invigilator to open the question paper packets using a pair of scissors and to make sure that the question papers not damaged. The Invigilator should report to the Chief Invigilator any grounds for suspicion about the security of the examination papers. In such cases, the Chief Invigilator must notify BEC immediately and submit a full written report.

7.20 Starting the Examination

- **7.20.1** An examination is deemed to be in progress from the time the candidates enter the room until all the scripts have been collected. Before candidates are permitted to start work the invigilator must:
- 7.20.2 ensure that candidates are seated in accordance with the prescribed seating arrangements;

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- 7.20.3 inform the candidates that they are now subject to the regulations of the examination;
- **7.20.4** warn candidates that any unauthorised materials must be handed in;
- **7.20.5** check that candidates have all the necessary materials to enable them to complete the examination;
- 7.20.6 open the packets of question papers and issue the papers to candidates;
- **7.20.7** draw to the candidates' attention the instructions printed on the front of the question paper, and ask them to check that they have been given the examination paper for the correct subject and component;
- **7.20.8** ensure that details of any erratum notices are brought to the attention of candidates.
- 7.20.9 inform candidates that:
 - (a) they must write their answers legibly in blue or black ink or ball-point pen;
 - (b) correcting fluid and highlighters should not be used;
 - (c) all work (including any rough work) must be done on the examination stationery provided by the centre and that rough work should be crossed through but not obliterated. Candidates should be made aware that all rough work will be included with the answers and sent to BEC;
- **7.20.10** in multiple choice papers, any rough work should be done on the question paper.
- **7.20.11** instruct candidates to enter their names, centre number, candidate numbers and the component code/paper details on their answer booklets or answer sheet, and to complete other details as required;
- **7.20.12** announced clearly to the candidates when they may begin to write their answers, specifying the time allowed for the paper(s).
- **7.20.13** Remind candidates that they are forbidden from communicating in any way with; or seek assistance from or give assistance to another candidate, whilst they are in the examination room.
- **7.20.14** The sealed envelopes containing the question papers must be shown to all the candidates in the examination room. Candidates should confirm that the envelopes containing the question papers have not been tampered with.

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- 7.20.15 Question paper packets must normally remain sealed so that they may be opened in the presence of the candidates. If more than one room is being used, the question paper packets should be opened in one of the rooms in the presence of the candidates. The question papers for other rooms can then be counted and delivered to the rooms by one of the invigilators. This distribution of question papers should take place about 10 minutes before the scheduled starting time of the examination. This should allow sufficient time for the question papers to be distributed to the candidates so that the examination can start at the same time in all rooms.
- 7.20.16 The invigilator must not offer any advice to candidates or make any comment on the guestions or the work of the candidates. The invigilator must not give any information to candidates about suspected errors in the question paper except as stated on any erratum notices. The invigilator should never attempt to correct a suspected error, and candidates must attempt the questions exactly as they are presented on the question paper.
- 7.20.17 In the case of objective examinations for PSLE, it may be necessary to ensure that all candidates are able to complete the details on the answer sheets properly and some practice may be required, this could be done in advance of the examinations. In any case, the invigilator should read the instructions to the candidates. The invigilator should speak loudly, clearly and slowly so that all candidates can hear and understand what is being said. The candidates should be instructed to write their names in the space provided on the answer sheet and then shade the ovals which indicate gender, centre number and student number. In order to enable the candidate to complete the form correctly, the invigilator should instruct the candidates to complete each step in turn, and the invigilator should move amongst the candidates to check that the instructions are being carried out correctly.
- 7.20.18 The envelopes containing the question papers for the subject stated on the examination timetable should now be opened for the first time in the presence of the candidates.
- 7.20.19 If there are more than one examination rooms and more than one envelopes, all the envelopes must be opened in one classroom and then the question papers distributed to the invigilators for the other classrooms. In a case where several classrooms are used for examinations, opening of question papers should be rotated such that each classroom becomes a base room for opening and sealing of envelopes.
- 7.20.20 The invigilator should place a question paper on each candidate's desk face down. They must absolutely make sure that each candidate receives only one question paper and leaves it face down until they are instructed otherwise.
- 7.20.21 In case there is a shortage of multiple-choice question papers and they cannot be obtained from BEC or a nearby primary school, the Chief Invigilator must divide the candidates into two groups. The first group would write the examination while the other group would be kept

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in a classroom under examination conditions except that they will not be writing. The candidates who would not be writing should be detained in one of the rooms and should not be allowed to read any books.

- **7.20.22** The candidates who are first to use the question papers should be instructed not to write anything on the question papers. The second group of students may later use the question papers. The Chief Invigilator must make a report of such an incident to the BEC.
- **7.20.23** In the case of free response papers like Agriculture Paper 1, the Chief Invigilator should inform BEC and in the meantime keep the candidates under strict supervision while the shortfall is being addressed.
- **7.20.24** The candidates and the invigilators should not be in contact with anybody except coinvigilators and the candidates during the time of waiting.
- **7.20.25** No copy of a question paper may be taken from the examination room before the end of the period allotted to that paper. The paper should be kept in safe custody until at least **24** hours after the scheduled finishing time. All question papers must be stored securely in the centre strong room until at least 24 hours after the published finishing time for the paper. The question papers that have been opened but have not passed the 24-hour security clearance are considered live and must be accounted for and locked away in the same high security safe or cabinet used for scripts storage.

7.21 Procedure to Follow in the Event a Wrong Question Paper Packet is Opened

- **7.21.1** No centre should ever have to issue the wrong question paper if the procedure as stipulated in these guidelines is adhered to. However, if a wrong question paper packet has been opened for PSLE question papers, the following steps should be taken:
- 7.21.1.1 If the error is discovered before the question papers are issued out:
- **7.21.1.2** Draw the attention of the candidates to the error and ask one of them to confirm that the wrong question paper packet has been opened.
- **7.21.1.3** Seal the question paper packets in front of the candidates and ask one of them to sign across the seal of the question paper packet. The packet is then placed inside a large tamper evident envelope and it is sealed and signed by both the invigilator and one of the candidates.
- **7.21.1.4** Apologise to the candidates and quickly replace the wrong question paper and get the examination started.

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7.21.1.5 The Head of Centre/Chief Invigilator should immediately inform the Director, Examinations and Assessments and the Regional Director by phone, followed by a letter.

7.22 If the Error Is Discovered After the Question Papers Have Been Distributed:

- **7.22.1** Draw the attention of the candidates to the error.
- **7.22.2** Collect all the question papers and ensure that every question paper has been accounted for before you can return them into the question paper packets.
- **7.22.3** Explain the seriousness of the situation to candidates and remind them of the consequences of sharing confidential information including electronically.
- **7.22.4** Seal the packets in front of the candidates following the same procedure as in 7.21 above.
- **7.22.5** In this case it is better to spend a bit more time ensuring that the security of the question papers and the integrity of the examination are maintained before issuing the correct question paper out.
- **7.22.6** Issue the correct question paper and note the time when the examination started and when it ended but afford the candidates the full examination time.
- **7.22.7** The Head of Centre/Chief Invigilator should immediately inform the Director, Examinations and Assessments and the Regional Director by phone, followed by a letter.

8. During the Examination

8.1 Supervision of Candidates

Invigilators must supervise the candidates throughout the whole time the examination is in progress and give complete attention to this duty at all times.

8.2 Invigilation of Examination

- **8.2.1** The invigilator has to keep moving during the examination period in order to do their job effectively and not stand for too long behind or next to a candidate as it may embarrass or disturb them.
- **8.2.2** If a candidate is permitted to leave the room at any time, the invigilator must make sure that their examination paper is left behind closed on their desk with the answer sheet or booklet inserted after the front cover.

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- **8.2.3** Two or more candidates should not be allowed to leave the room at the same time.
- **8.2.4** A roving invigilator must accompany the candidate to make sure they are supervised for the duration of the time that they have left the examination room.
- **8.2.5** No extra time will be allowed to make up for a routine absence such as a trip to the toilet. The only exception to this is where a candidate is allowed supervised breaks as part of an agreed Access Arrangement.
- **8.2.6** The roving invigilator must make sure that noise from outside the examination room does not disturb candidates as they write.
- **8.2.7** If, during the examination, the invigilator or candidates find that a question has no answer, the invigilator should allow candidates to answer in the normal way. There would be no need to contact BEC. After the examination, the Chief Invigilator should report the problem to BEC.

8.3 Timing

- **8.3.1** At the beginning of each examination session the invigilator must ascertain how much time is allotted to that particular examination paper and must follow it.
- **8.3.2** The time for each examination session must be written on the board so that candidates know the starting and finishing times.
- **8.3.3** The amount of time left as the candidates' progress with the examination must not be announced until **twenty (20) minutes and five (5) minutes** before the end of the examination.
- **8.3.4** The time between the invigilator's announcements: 'START!' and 'STOP!' must be the exact allotted time. No matter how clear directions are for an examination, one or more candidates are sure to misunderstand or misread them. They may mark their answers on the question paper instead of on the answer sheet, or they may fail to continue an examination in the belief that they have finished. Time lost by a candidate through their own failure to understand or follow instructions will not be made up or taken into account during marking.

8.4 Late Arrival of Candidates

8.4.1 A candidate who arrives after the starting time for an examination may be allowed to enter the examination room and to sit the examination. A candidate who arrives after the start of the examination must not be allowed any time after the scheduled end of the examination and will finish at the same time as all other candidates. However, candidates who have valid

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reasons should be allowed the full time for the examination. You should warn the candidate that BEC reserves the right not to accept the script.

- **8.4.2** In determining whether any of the work completed by a candidate who arrives late can be accepted, we will pay particular attention to the extent **to which it can be regarded the security of the examination as having been maintained,** with particular regard to the time at which candidates leave the examination room.
- **8.4.3** In all cases where a candidate is admitted late into the examination room, the following rules apply:
- **8.4.3.1** the script must be sent to us in the normal way;
- **8.4.3.2** a full written report must be sent to us as soon as possible containing the following information:
 - (a) the reason for the late arrival of the candidate, including any details of Access Arrangements made for the candidate to reach the centre;
 - (b) the actual starting and finishing times of the examination;
 - (c) the time at which the candidate started the examination;
 - (d) a statement regarding any possible breach of examination security arising from the candidate's late arrival, including information about the extent to which the candidate was under staff supervision from the actual starting time of the examination.
- **8.4.4** Where a candidate arrives late for the examination and after the scheduled finishing time, the candidate must be recorded as 'Absent'. In such cases, the candidate should be advised that 'NO RESULT' may be issued for the syllabus.

8.5 Leaving the Examination Room

- **8.5.1** Candidates should not be allowed to leave the examination room before the end of the examination. Candidates leaving early cause disruption to other candidates and can lead to an unsatisfactory end to the examination. This is not a requirement of the regulations, but BEC's view is that centres should not allow candidates to leave at any time until the examination is over as this causes anxiety to those candidates who are yet to finish writing.
- **8.5.2** If the centre decides to allow candidates to leave before the scheduled end of the examination, the invigilator must ensure that no candidate leaves the examination room until at least one hour after the published starting time if the exam lasts longer than one hour or the duration of the examination, if less than one hour.

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8.5.3 A candidate who has finished work and is allowed to leave the examination room early must hand in their work and must not be allowed to take the question paper out of the examination room. The candidate must not be re-admitted into the examination room.

8.6 Irregular Conduct

- **8.6.1** Wherever practicable, the invigilator should remove and retain any unauthorised material discovered in the possession of a candidate in the examination.
- **8.6.2** It is the duty of the Chief Invigilator to ensure that all cases of irregularity or misconduct in connection with the examination are reported to BEC as soon as possible. The Chief Invigilator is empowered to expel a candidate from the examination room, but such action should only be taken when it is felt that the continued presence of a candidate would cause disruption to other candidates (e.g. the presence of an intoxicated candidate or a candidate with emotional and behavioral problems).
- **8.6.3** Any infringement of the regulations may lead to a disqualification of the candidate. Decisions on any such disqualification will be taken by BEC.

8.7 Emergencies

- **8.7.1** The invigilator must take the following action in the event of an emergency such as a fire alarm:
 - (a) evacuate the examination room;
 - (b) ensure that all the question papers and examination scripts are left in the examination room; and that the room is secured so that no examination materials leave the room;
 - (c) ensure that the candidates are supervised as closely as possible whilst they are outside the examination room so as to ensure there is no collusion;
 - (d) after the candidates have returned to the examination room and before the examination is resumed, where it is feasible to do so, indicate on the candidates' work the point at which the interruption occurred;
 - (e) record the time and duration of the interruption; and allow the candidates the full working time prescribed for the examination;
 - (f) in the event of there being only a small number of candidates, consider the possibility of moving the candidates and the question papers and scripts to another room in order to complete the examination;

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(g) make a full report of the incident and of the action taken. The Chief Invigilator should submit any such report to Chief Executive Officer at BEC.

8.8 Request for Special Consideration

- **8.8.1** Where a candidate writes an examination under adverse circumstances, the centre should submit an application for special consideration. Such applications must be made by completing the form **BEC/EAC/P14/F01** *Application for Special Consideration*.
- 8.8.2 If a candidate falls sick some days before the examination starts and is admitted to a hospital, the Chief Invigilator must inform us and complete the BEC/EAC/P14/F01 Application for Special Consideration Form. Arrangements can be made with the hospital so that the candidate sits the examination at the hospital or at a nearby school.
- **8.8.3** The answer sheets for such a candidate must be sent separately (if the candidate sat the examination at the hospital) or together with the answer sheets of the school where the candidate sat for the examination but under a separate script return envelope.
- **8.8.4** A report on the candidate should then be written providing details of the examination.
- 8.8.5 For candidates who write the examination under adverse conditions like illness, bereavement, the BEC/EAC/G01 Access Arrangements and Special Consideration; A Guide for Centres should be consulted and procedures followed.

8.9 At the End of the Examination

8.9.1 Finishing the Examination

- **8.9.1.1** At the end of the examination, the candidates should be told to stop writing. The candidates should then be instructed to:
 - (a) ensure that all the required information has been entered on the script, answer booklet or answer sheet;
 - (b) make sure that their answers have been correctly numbered;
 - (c) arrange any supplementary answer sheets in the order in which the questions have been attempted. The sheets should then be attached to the back of their answer booklet or question paper using the string or tags provided by the Centre. Paper-clips or staples should not be used.

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8.10 Collection of Answer Scripts

- **8.10.1** All answer scripts must be collected and accounted for before candidates are allowed to leave the room.
- **8.10.2** Any sheets of extra paper a candidate wants to hand in to be marked should be inserted within the main script

IMPORTANT NOTE: Answer Scripts must not be removed from the examination room by candidates or unauthorised persons. If this happens you must tell us immediately. The candidate should be warned we may not accept their script.

- **8.10.3** The invigilator must:
 - (a) sort the answer scripts into the order shown on the attendance register in candidate number order;
 - (b) check that all answer scripts are present and that candidates have used correct centre and candidate numbers;
 - (c) place the scripts in a script return envelope and seal the packet in front of the candidates. The invigilator and one candidate should sign and date the script return envelope;
 - (d) if the same examination is taking place in different rooms, invigilators from the small rooms should place the scripts in an envelope (which does not need to be sealed) and take the scripts to be collated with the scripts from the other rooms at a central point. Candidates' representatives must witness the sealing of the scripts at the central collation point;
 - (e) mark the outside of the envelopes with the centre number, the syllabus and component code (e.g. 12/1), the number of scripts enclosed and the range of candidate numbers in each packet. Absent candidates' numbers must be reflected on the packet that contains their range;
 - (f) label the packets according to numbering indicated in the example below; e.g. if there are 5 packets they should be labelled as 1 of 5, 2 of 5, 3 of 5, 4 of 5 and 5 of 5.

8.11 Collection of Question Papers

8.11.1 Before candidates can leave, the invigilator must:

(a) collect, count and verify that every question paper that was issued out has been accounted for and place them in the original packets.

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- (b) hand over the scripts and question papers to the Chief invigilator for safe custody before despatch of scripts to BEC.
- (c) dismiss the candidates ensuring that they leave the room in an orderly manner.
- (d) empty question paper packets must be stored securely until the certificates are issued. We may need them for investigations into suspected malpractice.
- (e) Question papers must not be removed from the examination room by candidates or unauthorised persons. If this happens you must tell us immediately. The candidate should be warned we may not accept their script.

IMPORTANT NOTE: Answer scripts are confidential between the candidate, and BEC. They should not be read or photocopied by any person prior to despatch. By signing the outside of each script return envelope the Chief Invigilator is verifying the contents of the envelope and therefore there should be no discrepancy at the opening and verification of contents before the start of marking.

8.12 24-Hour Security Rule

- **8.12.1** No copy of a question paper may be taken from the examination room.
- **8.12.2** All question papers must be stored securely in the centre strong room until at least 24 hours after the published finishing time for the paper. (This means 24 hours not just the next day). The question papers that have been opened but have not passed the 24-hour security clearance are considered live and must be accounted for and locked away in the same high security safe or cabinet used for scripts storage.

8.13 Leaving the Exam Room

- **8.13.1** Candidates must remain under examination conditions and in the examination room until told otherwise by the invigilator.
- **8.13.2** You must collect and account for all answer scripts, question papers and any other examination material before candidates leave the room.
- **8.13.3** Whether or not they leave the examination room early, candidates must not remove any question papers or transcribed content of question papers. You must apply the 24-hour rule for the security of question papers and their contents.

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8.14 After the Examination

8.14.1 Collecting Examination Materials

- (a) Immediately after each session, the invigilator should arrange the answer sheets in numerical order/ascending order.
- (b) The Chief Invigilator should then check if the invigilators have arranged the answer sheets in numerical order and that there are no missing answer sheets or scripts.
- (c) They should also check to see if the information required, that is the surname, first name, centre and candidate number and sex have been correctly written and shaded. This must be done in the examination room that was used for opening the envelopes and in front of the invigilators and candidates.
- (d) They will then place the answer sheets between boards and secure them with rubber bands. The boards containing the answer sheets may now be placed in envelopes and then sealed in the presence of candidates.
- (e) If possible, all the script packets for a given component should be tied together to make a single package. Thoroughly check the syllabus code and component code before sealing as failure to do so creates problems of missing packets.
- (f) Chief Invigilator should enter outside the envelopes the centre number (e.g. PS2701), the syllabus code and component code (e.g. 01/01), the number of scripts enclosed (e.g. 50 scripts) and the range of candidate numbers (e.g. 0002-0079). Where only one packet is used, enter "Packet 1 of 1", where two packets are used, enter "Packet 1 of 2" on the first envelope and "Packet 2 of 2" on the second envelope, and so on if more than two packets are used. Any SPED envelopes should be counted amongst all the centre envelopes.
- (g) Under no circumstances must any correspondence, including requests for Special Consideration, be included with the examination scripts. All such correspondence should be sent separately to BEC.
- (h) The envelopes must be sealed in a box and the box addressed to:

The Chief Executive Officer Botswana Examinations Council Private Bag 0070 Gaborone

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8.15 Despatch of Scripts

- **8.15.1** The scripts and answer sheets will be collected by BEC at the end of the examination. Any scripts which are retained in the Centre awaiting collection must be held in secure conditions. Centres will be notified of the collection times.
- **8.15.2** A despatch form provided by BEC must be completed with the details of the consignment and signed by the Chief Invigilator and sent to BEC with the scripts but in a separate envelope.

8.16 Unused Stationery

- **8.16.1** All unused stationery in the examination room must be collected and checked for any used loose sheets which candidates may have overlooked. The stationery should then be returned to the Chief Invigilator and stored under secure conditions until required for a subsequent examination.
- **8.16.2** However, all the remaining multiple choice answer sheets should be safely DESTROYED by the office of the Chief Invigilator AFTER the issuing of certificates for the examination series unless advised otherwise.

8.17 Report on the General Administration of Examination

8.17.1 Centres are expected to each write a detailed report on the administration of examination. BEC is interested in Centre's comments on all aspects of the examination. The report should cover, but not limited to the following areas: delivery of examination materials, timetable and schedules, invigilation and written examinations, maladministration and malpractice.

9. Examination Malpractice

9.1 Definition

Malpractice is any action that occurs which may threaten the integrity of our examinations. This may occur before, during or after the examinations and may not necessarily be confined to events during timetabled examinations.

9.2 Individuals Involved

The individuals involved in malpractice may be:

- (a) Candidates.
- (b) Teachers or other staff members responsible for the conduct and quality assurance of examinations and assessment processes.

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- (c) Those involved in the assessment of candidates' work such as assessors, examiners and moderators.
- (d) Others such as parents or friends of the candidate.

IMPORTANT NOTE: Regardless of the circumstances or the people involved, we have a statutory duty to investigate all allegations of malpractice relating to our examinations and assessments. We also have a duty to protect the integrity of all our qualifications. This part of the Guidelines for Conduct of Examinations describes the procedures which must be followed in the investigation of all such allegations.

9.3 Candidate Malpractice

- **9.3.1** The following are examples of candidate malpractice. The list is not intended to be exhaustive, and other examples of malpractice may be identified and considered at our discretion.
- (a) The alteration of any results document, including Statement of Results and certificates.
- (b) Failing to follow the instructions or advice of an invigilator or other supervisor in relation to BEC regulations which apply to examinations and assessments.
- (c) Failure to abide by the conditions of invigilation or supervision which is intended to maintain the security of the examination or assessment.
- (d) Working in collusion with other candidates, beyond what is permitted by the regulations.
- (e) Copying from another candidate.
- (f) Allowing work to be copied by another candidate.
- (g) The deliberate destruction of another candidate's work.
- (h) Seeking, obtaining, exchanging or receiving (or attempting to obtain or receive) information which is examination related from another candidate in the examination room, or passing any such information to another candidate in the examination room. This includes communication by speech and in writing and other non-verbal communication.
- (i) Making a false declaration of authenticity in relation to coursework.
- (j) Either giving or receiving assistance in the production of coursework.
- (k) Being in possession of confidential material in advance of an examination.

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- (I) Bringing into the examination room unauthorised materials such as notes, study guides, electronic dictionaries, cell phones, etc.
- (m) The inclusion of offensive or obscene material in scripts or coursework.
- (n) Pretending to be someone else in the examination (impersonation), or arranging for another person to take one's place in an examination.
- (o) The inclusion in coursework of material which is copied from another source but which is not acknowledged.
- (p) Theft of another candidate's work.
- (q) Behaving in such a way as to undermine the integrity of the examination.

9.4 Centre Staff Malpractice – Breach of Security

- **9.4.1** Centre Staff Malpractice is breaching the confidentiality of question papers or other examination materials or confidentiality of candidates' scripts. For example:
 - (a) Failing to keep examination materials secure prior to an examination.
 - (b) Revealing secure information.
 - (c) Moving the time or date of an examination without the prior written approval of BEC.
 - (d) Failing to supervise adequately candidates who are involved in a timetable deviation. (This would, for example, apply to a practical examination which is to be taken in a number of sessions).
 - (e) Permitting, facilitating or obtaining unauthorised access to examination material prior to an examination.
 - (f) Failing to retain and secure examination material/candidates where the examination is to be taken in a later session due to a timetable deviation.
 - (g) Tampering with candidates' scripts or coursework after collection and before despatch to BEC or before making the work available to a moderator.

9.5 Centre Staff Malpractice – Deception

9.5.1 Any act of dishonesty in relation to an examination or assessment. For example:

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- (a) Inventing or changing marks for internally assessed components such as coursework where there is no actual evidence of the candidate's achievement to justify the marks being awarded.
- (b) Entering fictitious candidates for examinations or assessments or otherwise subverting the certification process for financial gain.

9.6 Centre Staff Malpractice – Improper Assistance to Candidates

- **9.6.1** This may include giving assistance to one or more candidates which may result in a potential or actual advantage in an examination or assessment. For example:
 - (a) Assisting candidates in the production of coursework beyond that permitted by the regulations.
 - (b) Making candidates' coursework available to other candidates.
 - (c) Assisting candidates with the production of answers.
 - (d) Assisting candidates for whom Access Arrangements have been made (such as the provision of reader or an amanuensis) beyond that permitted by the regulations and other BEC publications.

9.7 Centre Staff Malpractice – Maladministration

- **9.7.1** Failure to follow the regulations regarding the conduct of examinations and assessments or malpractice in the conduct of examinations and assessments including the handling of examination materials, candidate scripts, mark sheets, etc. For example:
 - (a) Failing to ensure that candidate's coursework is adequately supervised.
 - (b) Failure to train invigilators adequately, leading to non-compliance with BEC regulations.
 - (c) Failing to issue appropriate notices or warnings to candidates in accordance with BEC regulations.
 - (d) Failing to post notices relating to examinations and assessments as required by BEC regulations.
 - (e) Ensuring that the rooms used for examinations meet BEC requirements as specified in the regulations.

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- (f) The introduction of unauthorised material into the examination room, either during or prior to the examination.
- (g) Failing to remind candidates that unauthorised materials must be handed to the invigilator before the start of an examination.
- (h) Making access arrangements available to candidates where prior approval has not been obtained from BEC.
- (i) Failure to despatch candidates' scripts or coursework to BEC or failure to make coursework available to moderators when required to do so by BEC.
- (j) Failing to report a case of suspected malpractice in an examination or assessment to BEC as soon as possible after any such incident has occurred.
- (k) Failing to keep candidate's computer files secure.
- (I) Failing to conduct an investigation into suspected malpractice when asked to do so by BEC.
- (m) Retaining or destroying of certificates contrary to BEC regulations.

9.8 Rights of Individuals Suspected of Malpractice

- **9.8.1** The Head of Centre, preferably in writing, must make any individual suspected of malpractice aware of this as soon as possible.
- **9.8.2** We may instruct the Head of Centre to share relevant evidence with the individual.
- **9.8.3** Any individual suspected of malpractice must be;
 - (a) told what it is they are accused of,
 - (b) given the opportunity to submit a written statement,
 - (c) made aware of the possible consequences if malpractice has occurred, and
 - (d) informed of our appeals procedure.

9.9 Making a Decision

- **9.9.1** We will consider all available information and use the balance of probabilities to reach a decision on whether the suspected malpractice has occurred or not.
- **9.9.2** At our discretion we may consider mitigating factors supported by appropriate evidence.

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9.9.3 As we make no assumptions on intended actions, decisions are only based on the information presented.

9.10 Responsibilities of BEC

- **9.10.1** In order to meet its responsibilities, BEC will:
- **9.10.1.1** Oversee all investigations into alleged or suspected malpractice.
- **9.10.1.2** Withhold the results of the candidates involved until the investigation is complete.
- **9.10.1.3** BEC will withhold the results of candidates permanently if the circumstances warrant such action.
- **9.10.1.4** Apply sanctions and penalties where the malpractice is proven.
- **9.10.1.5** Report the circumstances to the Police if a criminal act may have been committed as part of the malpractice.
- **9.10.1.6** Use its own personnel to investigate cases which involve a suspected malpractice or maladministration.
- **9.10.1.7** Require the Head of Centre to provide full details of any suspected or confirmed breach of security.

9.11 Responsibilities of the Head of Centre

- 9.11.1 Heads of Centres are required to:
- **9.11.1.1** Report to BEC any incident of actual malpractice or suspicion of malpractice as a matter of urgency. The only exception to this is malpractice in coursework which is discovered before the candidate is registered for the examination.
- **9.11.1.2** Respond openly and speedily to all requests for an investigation into an allegation of malpractice.
- **9.11.1.3** Ensure full cooperation during the investigation into an allegation of malpractice by all concerned parties within the centre.
- 9.11.1.4 Pass on to the individuals concerned any warnings or notification of penalties.
- **9.11.1.5** Ensure compliance with any instructions or requests made by BEC relating to the future conduct of BEC examinations and assessments in the Centre.

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IMPORTANT NOTE: The responsibilities above also apply to instances of suspected malpractice which involve private candidates entered through the Centre.

9.12 Communication

- **9.12.1** BEC will communicate with the Head of Centre in all matters relating to an allegation of malpractice, except when the Head of Centre is under investigation.
- **9.12.2** In some circumstances, BEC may communicate directly with members of staff at the Centre who are suspected of malpractice. For example, direct communication would be necessary if the person is no longer employed at the Centre.
- **9.12.3** BEC will communicate directly with a candidate only if the circumstances warrant such communication or if the candidate is a private candidate. For example, BEC might decide to communicate with a candidate if there is a contradiction in the evidence provided by the Centre and the candidate or where it seems that the Centre is not co-operating fully with the investigation. In such cases, the Head of Centre will be informed in writing that BEC proposes to deal directly with the candidate and will be asked not to communicate further with the candidate.

9.13 Allegations of Malpractice

9.13.1 Suspected malpractice identified by examiners or moderators

Examiners and moderators who suspect malpractice in a BEC examination or assessment are required to report their suspicions to BEC immediately, providing BEC with a full account of the circumstances which has given rise to their suspicions. At this stage, the Head of Centre is not informed of the suspected malpractice, either by BEC or by the Examiner or Moderator.

9.13.2 Suspected malpractice identified by a Centre.

Where suspected malpractice is identified by a Centre, the Head of Centre must submit an account of the circumstances to us at the earliest opportunity, failure by the centre to notify us of suspected malpractice constitutes malpractice in itself.

9.13.3 Suspected malpractice identified by others.

9.13.3.1 Allegations of malpractice may sometimes be made by individuals such as centre staff, candidates or members of the public. We would ask for the allegation to be made in writing, although the informant will be given the option of remaining anonymous. We will evaluate all such cases in the light of all available information before deciding whether an investigation is justified.

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9.13.3.2 We are aware that reporting malpractice by a member of staff at a Centre or by a candidate might lead to difficulties for the individual. In view of this BEC will normally not disclose the identity of the informant unless legally required to do so.

9.14 Report cases of suspected malpractice with all relevant information. Including the following:

- (a) Statements from relevant centre staff, for example, the Head of Centre, the Chief Invigilators, Invigilators or teachers. Statements should include a detailed account of the circumstances surrounding the suspected malpractice, including any details of investigation that has been carried out by the Head of Centre.
- (b) Statement of all candidates involved in the suspected malpractice, giving their account of events in their own words.
- (c) Evidence of any unauthorised material found in a candidate possession e.g a photograph of the unauthorised material.
- (d) Seating plans.
- (e) Any additional evidence or information that is relevant.
- (f) Provide all statements in English. If the level of English of the person writing the statement **would** undermine their ability to produce a fair statement, we may accept the statements in Setswana.
- (g) In the case of coursework components, if the malpractice is discovered prior to the date for final entry, the Centre should resolve the matter internally and may exercise discretion as to whether or not to enter the candidates concerned. If an entry is subsequently made in respect of such candidates, then none of the work which contributed to the dishonesty or malpractice must be submitted.
- (h) Centres are reminded that all work must be authenticated by the teacher. If the work of any candidate cannot be authenticated because of suspected dishonesty or malpractice, then no mark should be given for the syllabus/component concerned.
- (i) If the malpractice is discovered after final entries have been made, the details must be **reported** to BEC.
- (j) We take all reports of suspected malpractice seriously. We will acknowledge reports in writing. In our response we will either;
 - (i) confirm no further action,

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- (ii) issue guidance, or
- (iii) open a suspected malpractice investigation.

9.15 Suspected Malpractice Investigations

- **9.15.1** When we open a suspected malpractice case we will write to you with the details, including actions and instructions that your centre must complete and confirm. We will try to find all relevant facts and circumstances of the case to help us decide whether malpractice has happened or not.
- **9.15.2** You must complete all actions and cooperate with all instructions in the timescales specified.
- **9.15.3** We oversee all investigations. We expect the Head of Centre to personally supervise and collect information on our behalf.
- **9.15.4** Failure to take actions or cooperate in suspected malpractice investigations as required by us is malpractice in itself.
- **9.15.5** We reserve the rights to withhold results while investigations are on-going. We will notify you if we do this.
- **9.15.6** We reserve the right to deal directly with the candidate or the candidate's representative. In these cases we will notify the Head of Centre.
- **9.15.7** During our investigation further concerns may be identified. In these instances, we may extend the scope of our investigation as needed.
- 9.15.8 We may send the representatives to your centre to conduct an investigation.
- **9.15.9** Malpractice cases are confidential between the individual centre, the individuals involved and us.
- **9.15.10** Correspondence about suspected malpractice is confidential between the centre and us.
- **9.15.11** The Head of Centre can share concerns and/or requests for information with the candidate(s) involved and their parents/guardian.
- **9.15.12** If the Head of Centre is implicated in the suspected malpractice we may, at our discretion, ask someone else to collect information, for example, the Regional Director.

9.16 Penalties that May be Sanctioned by BEC in Response to Malpractice Cases

9.16.1 After we carry out investigations, we may impose sanctions and penalties on individuals and Centres suspected of malpractice in order to:

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- (a) minimise the risk to the integrity of our qualifications, both in the present and in the future;
- (b) maintain the confidence of the public in our qualifications; and
- (c) deter others from committing malpractice.
- **9.16.2** We may impose sanctions and penalties to individuals suspected of malpractice. These individuals will be candidates or members of staff who are responsible in some way for the examination or assessment. However, when the malpractice is judged to be the result of serious mismanagement of the Centre, we may apply sanctions against the Centre.
- **9.16.3** We will do everything possible to protect the interest of candidates who become involved in malpractice through no fault of their own. However, it should be recognised that there may be instances where the work submitted for assessment does not represent the attainment of individual candidates, and it may not be possible to issue grades to these candidates. When considering such cases, we will consider both the position of the innocent individuals caught up in the malpractice and our responsibility to other candidates.
- **9.16.4** There may be cases where it is known that malpractice has taken place, but it may not be possible to apportion suspected malpractice with any certainty. In such cases, if it is clear that the integrity of the examination or assessment has been impaired, we may decide not to accept the work of candidates and results will not be issued.
- **9.16.5** We have decided that sanctions and penalties are not to be fixed, but are to be selected from a range of available penalties in order to reflect the particular circumstances of the case and in order to take any mitigating circumstances into account. We reserve the right to apply penalties, and in the case of practicing teachers this will be done in consultation with the employer.
- **9.16.6** Sanctions and penalties applied must be reasonable and based only on the evidence.
- **9.16.7** Penalties must be consistent in their application, and we will take no account of the consequences which might arise from the candidate's circumstances, such as the effect of the penalty on the candidate's application for admission to institutions of higher learning.
- **9.16.8** When the head of centre has received our outcome, it is at their discretion whether they take further action with their candidate(s) and/or staff.
- **9.16.9** Some outcomes will mean that components are not eligible for request about enquiries about results. We will confirm this in our outcome letter.

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9.16.10 A permanent record will be kept of the effect of any penalties on a candidate's results. All other information relating to specific instances of malpractice will be destroyed after a period of five years.

9.17 Possible Sanctions and Penalties

We may, at our discretion, impose sanctions and penalties against candidates and Centres suspected of malpractice. Such sanctions may include:

- (a) Written warning
- (b) Loss of marks for part of a component
- (c) Loss of marks for a component
- (d) Candidate debarred
- (e) Imposition of special conditions
- (f) Suspension from participation in examination related activities
- (g) Additional inspection
- (h) Restrictions on the storage of examination materials
- (i) Withdrawal of Centre status
- (j) Withdrawal of results
- (k) No further action

IMPORTANT NOTE: We reserve the right to apply outcomes not listed above.

9.18 Communicating Decisions

- **9.18.1** Heads of Centres will be informed of a decision in writing as soon as possible after the decision has been made. The Head of Centre is then required to communicate the decision to the individuals concerned. Where appropriate, the Head of Centre must pass on written warnings to the individuals concerned.
- **9.18.2** Cases of malpractice will normally remain confidential between the Centre and BEC. However, in cases of serious malpractice, where the circumstances outweigh the duty of confidentiality, the appropriate department of the Ministry of Basic Education will be informed. We will provide the department with a report on the nature of the malpractice together with details of the action taken against the Centre or individuals at the Centre.

10. Publication of Results

Results are published initially as provisional results until after the end of the results enquiries period.

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10.1 Online Results

Private centres that registered through online can access their results from Malepa application. The online results are available in the following formats:

- (a) Grade listing School Version
- (b) Statement of Results
- (c) Centres ordered by percentages of passes
- (d) National grade frequencies by syllabus
- (e) Summary of results by Centre by Syllabus
- (f) Summary of results by Syllabus by Centre

10.2 Candidates Results Service

This service gives your candidate access to their results directly via a secure website <u>www.bec.co.bw</u> and "SMS" technology as advertised.

10.3 Provisional Results Despatch

This arrangement is for BEC Centres. BEC sends the provisional results to centres by courier unless instructed otherwise. BEC does not email or fax results to centres or anybody else.

10.4 Syllabus Grades on Provisional Results documents

We report syllabus grades on provisional results documents as follows:

10.4.1 "UNGRADED" shows that the candidate failed to reach the standard for grade E (e).

- **10.4.2** "NO RESULTS" or "X" means one of the following:
- **10.4.3** We were notified that the candidate was absent or withdrawn from all components of the syllabus. The candidate is therefore not illegible for the award of a grade.
- **10.4.4** We were not notified that the candidate was absent or withdrawn and there is no record of their mark in one or more components of the syllabus. The candidate is therefore not illegible for the award of a grade.
- **10.4.5** We disqualified the candidate. Where this is the case we would have contacted the centre separately explaining the reasons for the disqualification.
- **10.4.6** "PENDING" or "Q" means that a result cannot be issued at present but will follow in due course once the reasons for withholding the results have been resolved.

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Results will normally be posted on the BEC website and can also be accessed through Short Message Service (sms) on mobile phones.

10.5 Grades

All components written by a candidate will be used to yield a syllabus grade. Syllabuses will be graded on a scale of 'A' to 'E'. Candidates who fail to obtain the minimum grade will be awarded a 'U'.

10.6 Awarding

Candidates will be awarded an overall grade if they have satisfied requirements for grading. To determine the overall grade, the seven syllabuses required are as follows:

10.7 Candidate Certificates

The following information will be shown on the Certificate:

- (a) The candidate's name and examination number;
- (b) The grade of Certificate awarded;
- (c) The year in which the examination was taken;
- (d) The name of the centre where the examination was taken;
- (e) The grade obtained in each subject taken.

10.8 Enquiries About Results

- 10.8.1 The results enquiries period starts immediately on the day of release of results and runs for six weeks after the release. All enquiries about results must be submitted to BEC within this period. The start and end dates are communicated with the results release.
- **10.8.2** BEC carries out extensive quality checks before results are issued. However, Centres can submit enquiries about results if they would like BEC to check the results for a particular candidate.
- 10.8.3 Enquiries on behalf of centre candidates should be made to BEC through the Chief Invigilator NOT a guardian nor parent. The centre has to complete – BEC/EAC/P16/F01 – Form L – PSLE/JCE/BGCSE Results Enquiry form. Enquiries on behalf of private candidates may be made directly to BEC by the candidate.
- 10.8.4 All enquiries that involve the checking of results must be made within six (6) weeks after the publication of results.

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- 10.8.4.1 Requests for re-marking of a script must be made within six weeks of the publication of the results, and must be accompanied by a fee of P380.00 per subject but this only applies to written components; Agriculture, English and Setswana Composition and Letter. A valid explanation for requesting for the re-marking must be given. BEC reserves the right to refuse a request for re-marking when it considers that the reasons advanced are frivolous or not valid.
- **10.8.4.2** For school candidates and candidates at OSET centres, requests for re-marking must be supported in writing by the Chief Invigilator. The Chief Invigilator must be convinced that the candidate could have done better and provide some proof to that effect.
- **10.8.4.3** Outcomes from Enquiries about Results will be communicated to the Head of Centre.

NOTE: All work specified for the examinations is the property of the Botswana Examinations Council.

11. Certification

11.1 Issue of Certificates to Candidates

- **11.1.1** A certificate is and remains the property of the Botswana Examinations Council (BEC) at all times and is issued subject to the following conditions:
 - (a) this certificate must be returned to us on request;
 - (b) this certificate should be kept in a safe place;
 - (c) we will not issue a copy of this certificate;
 - (d) any alterations to this certificate invalidates the certificate and BEC does not certify any such alterations;
 - (e) use of an altered certificate is a criminal offence and BEC may also take civil action for damages caused;
 - (f) ownership of all trademarks shown on the certificate are, and remains, the property of BEC; copyright in the contents and layout of this certificate is and remains the property of BEC.
- **11.1.2** All results issued by us are regarded as provisional until the results are confirmed by the issue of a certificate. Certificates will be sent to the Head of Centre/Private Candidates, and it is the responsibility of the Head of Centre to forward certificates to all candidates entered by the Centre. Distribution should take place as soon as possible after receipt.

IMPORTANT NOTE: In a case where certificates are not handed to the candidate personally, the Head of Centre is responsible for obtaining acknowledgement of receipt from the recipient.

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- **11.1.3** Certificates are printed and distributed to Centres/Private candidates at the conclusion of results enquiries, which is six (6) weeks after the release of the results. We are therefore, unable to despatch certificates before the deadline for submission of enquiries has elapsed, as candidate's marks and subject grades may be adjusted by the outcome of an enquiry about results. Certificates will be despatched as soon as this deadline has passed but the certificate for any candidate for whom an enquiry is still in progress will be withheld until the outcome of the enquiry about results is known. Once the outcome of the enquiry is known the certificate will be printed and sent to the Centre/Private Candidate.
- **11.1.4** Centres must store certificates in a safe place until they are distributed to candidates. Unclaimed certificates must be retained by the Centre for a period of 24 months from the date of issue. When that period has elapsed, the Centre should return any unclaimed certificates to us. When certificates are returned, the Centre should enclose a list which identifies the candidates. A copy of the list should be kept in the Centre. Once certificates are returned to us, candidates will be expected to claim them from BEC.
- **11.1.5** Where a Centre loses certificates before distributing to candidates, we should be notified in writing. We will use our discretion on how the matter will be treated basing on the merits of each case. Note that Centres may be required to pay for the replacement of the certificates.

IMPORTANT NOTE: Under no circumstances must any certificate be destroyed.

11.2 Incorrect Details of Certificates

- **11.2.1** Centre and candidate details such as the spelling of the candidate's name must be checked when Statements of Entries and Statements of Results are received. Any inaccuracies must be reported to us as soon as possible after the error has been identified and certainly before certificates are printed. We may replace such a certificate, but only at our discretion. If a replacement is to be provided, the following conditions will apply:
 - (a) A letter of request from the centre for the centre candidates and from the candidate for a private candidate.
 - (b) A proof of identity for private candidates.
 - (c) The original Certificate must be returned to us before any replacement will be provided.
 - (d) A fee will be charged for issuing the corrected certificate. The fee to be charged will be determined by us from time to time.

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IMPORTANT NOTE: Certificates can only be replaced within a period of 18 months from the date of issue of the original certificate. Thereafter, a Certifying Statement will be issued as in paragraph below.

11.3 Name Changes

Certificates are issued in the name of the candidate at the time the award is made. Replacement certificates will only be provided to accommodate a subsequent change of name when imposed by a court of law.

11.4 Combining Grades from Different Examination Series

Certificates are issued for each separate examination series, and we cannot combine grades obtained in different examination series on to one certificate

IMPORTANT NOTE: We cannot delete selected syllabuses or grades from a certificate.

11.5 Lost Certificates

A Certifying Statement is an official document issued by Botswana Examinations Council (BEC) to a candidate whose certificate is lost or damaged. It shows all the grades awarded to the candidate in a given examination series.

A candidate who has lost a certificate may apply to us for a Certifying Statement of Results. All grades are shown on the certifying statement, including Ungraded. A Certifying Statement will be issued as stated below.

11.6 Damaged Certificates

- **11.6.1** In cases where Certificates have been damaged, BEC at its discretion reserves the right to issue replacement certificates to candidates upon satisfactory understanding of the circumstances leading to the damage, and proof of identity. The following conditions will apply:
- **11.6.2** We will replace the damaged certificates within a period of 18 months from the date of issue of the original certificates. Thereafter, a certifying statement will be issued as in paragraph below.
- **11.6.2.1** The damaged certificate must be returned to us before any replacement will be provided.
- **11.6.2.2** A fee will be charged for issuing a replacement certificate. The fee to be charged will be determined by us from time to time.

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11.7 Certifying Statements

11.7.1 Conditions for application of a Certifying Statements

- **11.7.1.1** A letter of confirmation for collection of original certificate is required from the centre.
- **11.7.1.2** An Affidavit as proof of loss/damage of certificate.
- **11.7.1.3** A fee determined by us from time to time will be charged for issuing certifying statements.
- **11.7.1.4** Proof of Identity in the form of a National Identity Card for citizens and passport for noncitizens.
- **11.7.1.5** The names on the certificate are expected to be identical to the names on the Identity document otherwise proof of discrepancy to be provided. An Affidavit is required in the case of names while an official document from a Botswana Government institution is required for surname.
- **11.7.1.6** When a person other than the owner of the certificate makes the request, the applicant is expected to produce an authorisation letter from the owner of the certificate to collect the certificate on their behalf.

11.8 Verification of Results

- **11.8.1** A third party, such as a prospective employer, an educational institution, and or the Security Forces can make a request for a verification of results of a particular candidate at any time. The following conditions will apply:
- **11.8.1.1** A request letter or completed **BEC/EAC/DP/P11/F01** Verification Request Form for verification of a certificate from an institution or company.
- **11.8.1.2** A copy of the certificate to be verified.

11.9 Migration Certificate

- **11.9.1** A Migration Certificate is a document issued to an individual who has sat our examinations and wishes to pursue their education outside the country. It clarifies the qualification, the grading criteria and performance of the candidate. A candidate who wishes to pursue their education outside Botswana can make a request to us for a Migration Certificate. The following condition will apply:
- 11.9.1.1 A request for a migration certificate from client in the form of a written letter, or a completed BEC/EAC/DP/P12/F01 *Migration Request Form.*

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12. Appeals Process

- **12.1** These Guidelines are intended to describe and explain the processes for appealing against certain decisions made by us. It is intended for use by Heads of Centres and Private candidates who wish to appeal against our decision.
- **12.2** The guidelines below specify the decisions against which appeals can be made and provide guidance on the procedures to be followed, both by the Head of Centre/Private candidate and by us.
- 12.2.1 The Head of Centre (or private candidate) who wishes to appeal against the outcome of a decision listed below should submit any such appeal, in writing, to arrive at BEC within fourteen (14) days of the notification of the outcome of the enquiry or notification of the decision;
- **12.2.2** The appeal will be considered by a senior officer from BEC and in some cases by the BEC Appeals Committee, and we will inform the Head of Centre (or private candidate) of the outcome within fourteen (14) days of receiving the appeal;
- **12.2.3** The outcome of the appeal is regarded as final, and we will not enter into further correspondence about an appeal once the outcome of the appeal has been notified to the Head of Centre (or Private Candidate).

12.3 Appeals May be Made Against

- **12.3.1** The outcomes of Results Enquiries.
- **12.3.2** Decisions made in relation to Access Arrangements and Special Consideration.
- **12.3.3** Decisions made in cases of malpractice.
- **12.3.4** Other decisions made by us including late arrival of a candidate.

12.4 Who can Appeal

Only the following can appeal against our decision:

- **12.4.1** A Head of Centre on behalf of individual candidates or groups of candidates in the centre.
- **12.4.2** Private candidates.
- **12.4.3** Members of staff at a centre or, personnel contracted to a centre (e.g. invigilators) against who sanctions have been applied personally.

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12.4.4 Third parties who have been barred from our qualifications.

12.4.5 Appeals may not be made by School Candidates or their parents or guardians.

12.5 Appeals against the outcome of Enquiries about Results

This section applies to cases in which the Centres and/or Private candidates are dissatisfied with the outcome of the initial enquiries about results.

12.6 Making a stage 1 appeal against the outcome of an enquiry about results

12.6.1 All appeals must be made by the private candidate or Centre the school candidate was registered with. We do not accept appeals directly from parents/Guardians or school candidates. Stage 1 appeal must be made in writing and signed by the head of centre or Private candidate. Centres/Private candidates may only submit a stage 1 appeal after receipt of the initial enquiry about results.

Example1. You have made enquiries about Results for two candidates. One has already received an outcome. You may appeal for the candidate who has already received their outcome. You must wait until the second candidate has received their outcome to make a stage one appeal. If you do not have the outcome for the second candidate by the appeal deadline and you wish to appeal for the first candidate, you will need to submit two separate appeals to meet the deadline for each candidate.

Example 2.

You have made enquiries about results for some, but not all, candidates entered for a syllabus component. You can only appeal against the outcome of candidates you have made enquiries about results for. You may not include the other candidates. You may only appeal for a whole group if you have made enquiries about results for all candidates in the group.

12.6.2 Once a decision has been received, the Head of Centre or Private candidate may appeal against sanctions imposed on the centre and on candidates entered through the centre or a private candidate. Any such appeal must be received at BEC within fourteen (14) days of the receipt of the decision. The Appeal must be made in writing and signed by the Head of Centre/Private candidate and addressed to the Chief Executive Officer. We do not accept appeals directly from parents, guardians or Centre candidates. We will not accept late appeals submissions. When making an appeal, you must explain your reasons for appealing against the decision and in particular provide any extra information or evidence that you want to be considered. Centres and private Candidates should note that the appeals process will investigate the procedures which have been followed by us and is not concerned with
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making judgments about candidates' work. The procedures include all processes leading to the final award of marks and grades, and further consideration of candidates' work would only take place if it is found that procedures had not been properly followed by us.

- **12.6.3** We reserve the right to reject an appeal if the criteria in paragraph 12.6.2 are not met or where we deem the grounds for the appeal to be inappropriate.
- **12.6.4** Appeals can only be made against decisions where a sanction has been imposed.
- **12.6.5** You must show you have grounds for appeal. The grounds that we will consider are that either:
 - (a) We did not use procedures which were consistent with the commitments made in our guidelines, or
 - (b) We did not apply our internal procedures properly and fairly in arriving at our judgements.
- **12.6.6** We will not consider appeals based on any other grounds. For example, the following are not sufficient on their own:
 - (a) Disagreement with marking or moderation judgment made by markers and examiners, either originally or as part of an enquiry about results.
 - (b) Disagreements with our judgements on thresholds at either a component or syllabus level.
 - (c) Differences between candidates' results and their results in other assessments.
- **12.6.7** On receipt of an appeal, we will send a letter of acknowledgement within seven working days of receiving it. The case will first be reviewed by a senior officer at BEC who has had no previous involvement with the case. The review will take into account the submission of the Head of Centre (or Private candidate) and will include a review of all relevant procedures and evidence that you sent and then we will consider whether we:
 - (a) used the procedures which were consistent with the commitments made in our guidelines, and
 - (b) applied our internal procedures properly and fairly in arriving at our judgement
- **12.6.8** We will notify you in writing within fourteen days of receiving the appeal whether it was fully successful, partly successful or un-successful.

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12.6.9 You must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.

12.6.10 When to make Stage 1 appeals

Example 1.

You receive the outcome of an enquiry about results for one candidate, after Fourteen (14) days. You make stage 1 appeal following instructions on how to make Stage 1 appeal.

Example 2.

You have made enquiries about Results, for five candidates for the same syllabus component. You have received the outcome for all the five candidates. Any appeals made regarding the 5 candidates must fall within the 14 day limit for each appeal.

12.7 Making a stage 2 appeal against the outcome of enquiries about results

- **12.7.1.1** The stage 2 appeals process is designed to provide the Head of Centre or Private candidate the opportunity of presenting their case to an impartial body appointed from the senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.
- **12.7.1.2** If the appeal is not fully successful at stage 1, you may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the head of centre/Private candidate and addressed to the Chief Executive Officer. We do not accept appeals directly from parents, guardians and school candidates.

Example: You made a stage 1 appeal against the outcome of an enquiry about results for candidate A and have received an outcome. Candidate B had an enquiry about results outcome, but you did not make a Stage 1 appeal. You may make a stage 2 appeal for candidate A but not for candidate B.

12.7.1.3 When making a stage 2 appeal, you must fully and accurately explain your grounds for submitting a further appeal and arguments against the decision. You must also provide any extra information or evidence that you would like the panel to consider. You must make your stage 2 appeals within fourteen (14) days of receipt of stage 1 outcome letter. We will not accept late appeals submissions.

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Example 1. You received the outcome of stage 1 appeal dated March 1 for one candidate. You have until 15 March to make your stage 2 appeal.

Example 2. You made stage1 appeals for two groups of candidates for the same syllabus component. The stage 1 outcome letters are dated 1 march and 5 March respectively. If you wish to make a stage 2 appeal for both groups together, you need to submit it by 15 March, the deadline for the earliest outcome you received.

- **12.7.1.4** As at stage 1 appeal, every effort will be made to establish whether or not we followed proper procedures in coming to our decisions. The Appeals Committee is not authorised to re-assess whether the grades issued are appropriate but instruct us to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to us and the observations made by the Head of Centre (or Private candidate).
- **12.7.1.5** At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by us. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - (a) it is satisfied that the procedures followed by us were appropriate,
 - (b) the procedures were properly and fairly applied, and
 - (c) the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- **12.7.1.6** The decision of the Appeals Committee will be communicated to the Head of Centre or Private candidate in writing within Five (5) working days of the conclusion of the hearing.
- **12.7.1.7** The communication of the decision to the Head of Centre or Private Candidate concludes the appeals process. The outcome of the appeal is regarded as final and we will not enter into further correspondence about an appeal.

12.8 Appeals against access arrangements, special consideration and late arrival decisions

If you are dissatisfied with the outcome of an access arrangement application, a request for special consideration or a late arrival decision, the Head of Centre/Private candidate may request an appeal.

12.8.1 Making a stage 1 Appeal against an access arrangement, special consideration or late arrival decision

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	Function	Assessment Administration
	Document Type	Guidelines
BOTSWANA	Document No.	EA/AA/G03.v1
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12.8.1.1 Once a decision has been received, the Head of Centre or private candidate may appeal against sanctions imposed on the centre and on candidates entered through the centre or a private candidate. Any such appeal must be received at BEC within fourteen days of the receipt of the decision. The appeal must be made in writing by the Head of Centre/Private Candidate and addressed to the Chief Executive Officer. We do not accept appeals directly from parents, guardians or Centre candidates. We will not accept late appeals submissions.

Example: You receive a letter dated 1st March, with our decision about the late arrival of a candidate, or your application for access arrangements or Special consideration. You have until 15 March to make your stage 1 appeal.

- **12.8.1.2** When making an appeal, you must explain your reasons for appealing against the decision and in particular provide any extra information or evidence that you want to be considered. Centres and private Candidates should note that the appeals process will investigate the procedures which have been followed by us.
- **12.8.1.3** BEC reserves the right to reject an appeal if the criteria in paragraph 13.7.1 (i) are not met or where BEC deems the grounds for the appeal to be inappropriate.
- **12.8.1.4** Appeals can only be made against decisions where a sanction has been imposed.
- **12.8.1.5** You must show you have grounds for appeal. The grounds that we will consider are that either:
 - (a) we did not use procedures which were consistent with the commitments made in our Guidelines for conduct of examinations, or
 - (b) we did not apply our internal procedures properly and fairly in arriving at our judgements.
- **12.8.1.6** We will notify you in writing within fourteen days of receiving the appeal whether it was fully successful, partly successful or un-successful.
- **12.8.1.7** You must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.
- **12.8.1.8** We will not consider appeals based on any other grounds.
- 12.8.2 Making a stage 2 appeal against access arrangement, special consideration or late arrival decision
- 12.8.2.1 The Stage 2 Appeals process is designed to provide the Head of Centre or Private candidate the opportunity of presenting their case to an impartial body appointed from the Page 76 of 81

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senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.

- **12.8.2.2** If the appeal is not fully successful at stage 1, you may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the Head of Centre/Private candidate and addressed to the Chief Executive Officer. We do not accept appeal directly from parents, guardians and school candidates. When making stage 2 appeals, you must fully and accurately explain your grounds for submitting a further appeal and arguments against the decision. You must also provide any extra information or evidence that you would like the panel to consider. You must make your stage 2 appeals within fourteen days of receipt of stage 1 outcome letter. We will not accept late appeals submissions.
- **12.8.2.3** As at Stage 1 appeals, every effort will be made to establish whether or not we followed proper procedures in coming to our decisions. The Appeals Committee is not authorised to re-assess whether access arrangements, special consideration or late arrival ground have been dealt with appropriately but instruct us to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to us and the observations made by the Head of Centre (or Private Candidate).
- **12.8.2.4** At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by BEC. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - (a) it is satisfied that the procedures followed by BEC were appropriate,
 - (b) the procedures were properly and fairly applied, and
 - (c) the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- **12.8.2.5** The decision of the Appeals Committee will be communicated to the Head of Centre or Private Candidate in writing within Five (5) working days of the conclusion of the hearing.
- **12.8.2.6** The communication of the decision to the Head of Centre or Private Candidate concludes the appeals process. The outcome of the appeal is regarded as final, and BEC will not enter into further correspondence about an appeal.

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12.9 Appeals against Malpractice Decisions

Decisions relating to cases of malpractice are based on the principles and procedures defined in section 9 of these guidelines.

12.9.1 Making a stage 1 appeal against malpractice decision

12.9.1.1 Once a decision has been received, the Head of Centre or private candidate may appeal against sanctions imposed on the centre and on candidates entered through the centre or a private candidate. Any such appeal must be received at BEC within fourteen days of the receipt of the decision. The Appeal must be made in writing by the Head of Centre/Private candidate and addressed to the Chief Executive Officer. We do not accept appeals directly from parents, guardians or Centre candidates. We will not accept late appeals submissions.

Example: you receive a letter, dated 1st March, telling you the outcome of the malpractice case. You have until 15 March to make your stage 1 appeal.

- 12.9.1.2 When making an appeal, you must explain your reasons for appealing against the decision and in particular provide any extra information or evidence that you want to be considered. Centres and private Candidates should note that the appeals process will investigate the procedures which have been followed by BEC.
- **12.9.1.3** We reserve the right to reject an appeal if the criteria in paragraph 12.9.1.1 are not met or where BEC deems the grounds for the appeal to be inappropriate.
- **12.9.1.4** Appeals can only be made against decisions where a sanction has been imposed.
- **12.9.1.5** You must show you have grounds for appeal. The grounds that we will consider are that either:
 - (a) we did not use procedures which were consistent with the commitments made in our guidelines, or
 - (b) we did not apply our internal procedures properly and fairly in arriving at our judgements.
- **12.9.1.6** We will not consider appeals based on any other grounds. For example, the following are not sufficient on their own:
 - (a) The individual did not mean to commit Malpractice.
 - (b) The individual has a good academic record.
 - (c) The individual could lose a university place.

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- (d) The individual regrets their action.
- 12.9.1.7 On receipt of an appeal, we will send a letter of acknowledgement within five working days of receiving it. The case will first be reviewed by a senior officer at BEC who has had no previous involvement with the case. The review will take into account the submission of the Head of Centre (or Private candidate) and will include a review of all relevant procedures and evidence that you sent and then we will consider whether we:
 - (a) Used the procedures which were consistent with the commitments made in our Guidelines
 - (b) Applied our internal procedures properly and fairly in arriving at our judgement
- **12.9.1.8** We will notify you in writing within fourteen working days of receiving the appeal whether it was fully successful, partly successful or unsuccessful
- **12.9.1.9** You must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.

12.9.2 Making a stage 2 appeal against a malpractice decision

- **12.9.2.1** The Stage 2 Appeals process is designed to provide the Head of Centre or Private candidate the opportunity of presenting their case to an impartial body appointed from the senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.
- 12.9.2.2 If the appeal is not fully successful at stage 1, you may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the head of centre/Private candidate and addressed to the Chief Executive Officer. We do not accept appeals directly from parents, guardians and school candidates. When making stage 2 appeals, you must fully and accurately explain your grounds for submitting a further appeal and arguments against the decision. You must also provide any extra information or evidence that you would like the panel to consider. You must make your stage 2 appeals within fourteen days of receipt of stage 1 outcome letter. We will not accept late appeals submissions.
- 12.9.2.3 As at Stage 1 appeal, every effort will be made to establish whether or not we followed proper procedures in coming to our decisions. The Appeals Committee is not authorised to re-assess whether a malpractice has occurred but instruct us to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to us and the observations made by the Head of Centre (or Private candidate).

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- **12.9.2.4** At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by BEC. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - (a) it is satisfied that the procedures followed by BEC were appropriate,
 - (b) the procedures were properly and fairly applied, and
 - (c) the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- **12.9.2.5** The decision of the Appeals Committee will be communicated to the Head of Centre or Private Candidate in writing within five working days of the conclusion of the hearing.
- **12.9.2.6** The communication of the decision to the Head of Centre or Private candidate concludes the appeals process. The outcome of the appeal is regarded as final, and BEC will not enter into further correspondence about an appeal.

13. Fees and Costs

We charge a fee for each stage 1 and 2 appeals against the outcome of enquiries about results. The charge is per appeal, not per candidate. If your appeal is upheld (successful), appeals fees will be refunded.

14. Risks of Non-Compliance

The following are risks of non-compliance to these guidelines;

- (a) Loss of integrity
- (b) Reputational damage to the organisation's image
- (c) Loss of examination credibility
- (d) Results being nullified
- (e) Centre disqualification and or withdrawal of centre status
- (f) Huge cost to replacement of question papers

15. Records

- a) Appendix C EA/AA/G01/A03
- b) Appendix D EA/AA/G01/A04
- c) Appendix F BEC/EAC/P14/F01
- d) Appendix G EA/AA/G01/A06

Exam Room Entry Register Checklist for Invigilators Application for Special Consideration Report on Conduct of Examinations

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16. Associated Documents

- a) EA/AA/Man02 Manual for Invigilators
- b) EA/AA/Man01- Manual for Secure storage of Examination Materials at BEC centres
- c) Annexure 1- EA/AA/G03/A01 Notice to Candidates
- d) Annexure 2- EA/AA/G01/AN02 What to say to Candidates

17. References

(a) BEC (2023) EA/AA/G02.v1 - Guidelines for Conduct of Examinations - JCE, Gaborone.

NOTICE TO CANDIDATES



APPENDIX A

This notice has been written to help you. Read it carefully and do what you are asked to do to ensure that you adhere to rules governing the conduct of examinations. If there is anything you do not understand, ask your teacher.

You must:

- Know the dates and times of your examinations as indicated in your timetable.
- Arrive at least 30 minutes before the start of each examination. If you are late, your work may nfot be accepted.
- Follow the instructions of the invigilator
- If on the day of the examination, you feel that your work may be affected by ill-health or any other reason, inform the Invigilator.
- Leave all used and unused exam materials in the exam room.

You must not:

- take any unauthorised materials into the examination room.
- talk to, attempt to communicate with, or disturb other candidates once you have entered the exam room
- be involved in any unfair or dishonest practice before, during, or after the exam. If you are in any doubt speak to an invigilator.

WARNING ON MALPRACTICE

If you cheat, try to use any unfair practice to gain advantage in any examination or break the rules in any way, before, during, or after the exam. You may be disqualified from one or all of your examinations.

If you suspect that other candidates or your teachers are involved in Malpractice you should report to your teachers or directly to BEC at the following number; **3650700**



APPENDIX B

- **B.1** Listen to the Invigilator and do what you are asked to do.
- **B.2** Tell the Invigilator at once,
 - if you think you have not been given the right question paper or all the materials listed on the front of the paper,
 - if the question paper is incomplete or badly printed.
- **B.3** Read carefully the instructions printed on the question paper and/or on the answer booklet/answer paper. Do what you are asked to do.
- **B.4** Fill in the details required on the front of your question paper and/or answer booklet/answer paper before the start of the examination.
- **B.5** Do your rough work on the proper examination stationery. Cross it through and leave it on your desk when you leave.

B.6 Advice and Assistance

- **B.6.1** If on the day of the examination, you feel that your work may be affected by ill health or any other reason, tell the Invigilator.
- **B.6.2** If during the examination you have a problem and are in doubt about what you should do, or you feel ill or you need more answer paper, raise your hand to attract attention. The Invigilator will come to you and answer your question.
- **B.6.3** You may not ask for, and will not be given, any explanation of the questions.

B.7 Leaving the Examination Room

- **B.7.1** You may leave the examination room before the end of the examination only if the Invigilator allows you to do so. For examinations longer than one hour, you will not be allowed to leave the examination room until at least one hour after the published starting time. If you are allowed to leave before the end of the examination, you will not be allowed to return.
- **B.7.2** If you have used more than one answer booklet and/or loose sheets of answer paper, you must place them in the correct order. Fasten them together before you leave.
- **B.7.3** You must not leave the examination room until the Invigilator tells you to do so.
- **B.7.4** When you leave the examination room you must leave behind the question paper, your answer booklet/answer paper, rough work, and any other (used or unused) materials provided for the examination.

WARNING

If you cheat, try to use any unfair practice or break the rules in any way, you may be disqualified from all of your syllabuses.

CHECKLIST FOR INVIGILATORS



APPENDIX C

This checklist summarises the essential actions for the invigilation of examinations. Invigilators must be familiar with the Instructions for the Conduct of Examinations and should refer to this booklet for detailed instructions and guidance.

C.1 Arrangement of the Examination Rooms

- **C.1.1** Check that any charts, diagrams, etc. have been cleared off from the walls.
- C.1.2 Check that you have the following
 - (a) a clock clearly visible to all candidates,
 - (b) a board showing
 - (i) centre number,
 - (ii) starting time and finishing time of the examination,
 - (iii) syllabus name,
 - (iv) component code,
 - (v) date.
- **C.1.3** Check that you have
 - (a) a copy of the current Guidelines for the Conduct of PSLE Examinations,
 - (b) any subject-specific instructions issued by BEC,
 - (c) a seating plan of the examination room.

C.2 Before the Examination

- **C.2.1** Refer to the front of the question paper and the Summary of Additional Materials List for precise requirements in respect of authorised materials, particularly calculators.
- **C.2.2** Inform candidates that they are now subject to the regulations of the examination and draw their attention to Notice to Candidates: Appendix A
- **C.2.3** Warn candidates that any unauthorised materials must be handed over to you.
- C.2.4 Open the question paper packet in the examination room in the presence of the candidates.
 - (a) Draw the candidates' attention to the instructions on the front of the question paper.
 - (b) Instruct candidates to complete the details on the front of the answer booklet/answer sheets and allow them to read them instructions.
- **C.2.5** Ensure that details of any erratum notices are brought to the notice of the candidates.
- **C.2.6** Inform the candidates when they may begin and how much time they have.

C.3 Identification of Candidates

C.3.1 Satisfy yourself as to the identity of every candidate in the examination room.

CHECKLIST FOR INVIGILATORS



C.3.2 Check documentary evidence presented by private candidates that they are the same persons who made entry for the examination.

C.4 During the Examination

- **C.4.1** Complete the Attendance Register. Indicate absent candidates.
- **C.4.2** Refer to paragraph 10.10.4 of the Guidelines if a candidate arrives late.
- **C.4.3** Be vigilant. Supervise the candidates at all times to prevent cheating and distractions.
- **C.4.4** Do not give any information to the candidates about
 - (a) suspected errors in the question paper, unless an erratum notice has been issued,
 - (b) any question on the paper or the requirements for answering particular questions.
- **C.4.5** Ensure that no candidate leaves the examination room until at least one hour after the published starting time (or the duration of the examination, if less than one hour).
- **C.4.6** Ensure that no question paper is removed from the examination room. All question papers should be returned to a secure store at the end of the examination.
- **C.4.7** Ensure that a rover is available to accompany any candidates who need to leave the room temporarily.
- **C.4.8** Refer to paragraph 10.10.8 in the event of an emergency.
- C.4.9 Inform candidates when they have twenty minutes (20) and five (05) minutes remaining.
- **C.4.10** Instruct candidates to stop writing at the end of the examination.

C.5 After the Examination

- **C.5.1** Check and sign the attendance register. Ensure that all of the absentees have been properly recorded.
- C.5.2 Instruct candidates to check that;
 - (a) all the required information has been entered on their scripts, including supplementary sheets,
 - (b) they have crossed out rough work or unwanted answers,
 - (c) they have fastened any supplementary sheets in accordance with the instructions on the question paper or answer booklet.
- **C.5.3** Collect all scripts or answer sheets and all unused stationery before the candidates leave the examination room.
- **C.5.4** Check that scripts or answer sheets are arranged in Attendance Register order.
- **C.5.5** Ensure that the scripts or answer sheets are packaged and sealed in the presence of the candidates.
- **C.5.6** Ensure that scripts or answer sheets are kept in secure place prior despatch to BEC.

CHECKLIST FOR INVIGILATORS



The invigilator should read all instructions to candidates. They should speak loudly, clearly and slowly enough so that every candidate can hear and understand every word which is said.

BEC will provide personalised answer sheets for each candidate and subject. For special cases such as late transfer-ins, candidates will use un-personalised answer sheets and should be instructed to complete the answer sheet as follows:





APPENDIX D

D.1 NAME

The candidate writes the surname first then the first name. This should be the same names as on the registration list (Candidates are not allowed to use names that are different from the names on the registration list).

Candidates should clearly write down their centre name.

D.3 DATE AND TIME

For personalised and un-personalised answer sheets, candidates will be required to write the date of the examination as well as the start and end times of the examination being written on that day.

D.4 EXAMINATION NUMBER

The candidate writes the centre number in the 4 boxes under centre number, PS is already preshaded, and then the candidate number in the 4 boxes under candidate number.

D.5 SHADING THE OVALS

In the ovals below the examination number, the candidate shades each oval which has the number that corresponds to the one in each box, ensuring that the marks are dark enough to cover the digit within oval.

The candidate must then shade the oval for the respective syllabus. In all the above steps, the invigilator must instruct candidates to perform each step separately and they should move from candidate to candidate checking if the instructions given are being carried out.

Invigilators should give instructions to candidates the most encouraging and friendly manner. The importance of the invigilator's tone cannot be over-emphasised; it can either help the candidates to do their best or cause them to do less than they are capable of. The candidates should see the invigilator as a friendly person who wants them to do well.



COMPLETING THE ANSWER SHEETS

The Answer Sheet shown below is for the English examination. The Answer Sheet has been completed by **Bothale Moithuti**. Bothale is a student at Botswana Primary School and their candidate number is **PS0100/0125** (PS 0100 is the Centre Number and 0125 is the candidate number). Candidates should be shown how to shade the ovals.

BOTSWANA EXAMINATIONS COUNCIL	A	NSWER SHEET		
Candidate Name:	MOITHUTI	BOTLHALE	CENTRE	CANDIDAT
Centre Name:	Surname: BOTSWANA PRI	First Name: MARY SCHOOL	P S 01 0 0	012
Date:	9 OCTOBER 201	4	0000	
Erase unwante Do not use co	n HB pencil, do not use lnk. ad marks completely.	Mark answers by shading the oval heavily, like this		
		SYLLABUS NAME		

DIRECTIONS TO CANDIDATES



APPENDIX E

- **E.1** The directions are as printed in this booklet, a copy of which should be available to candidates in the examination room. They must be brought to the attention of candidates before the first day of the examinations. It is suggested that all candidates be assembled shortly before the first day of the examination and the directions read aloud and explained to them.
- **E.2** Be at your seat in the examination room at least fifteen minutes before the time fixed for examination in the subject you are taking.
- **E.3** Check that the number of printed pages in the question paper corresponds with the number given on the cover so as to ensure that you have a complete question paper. If in doubt, inform the Invigilator.
- **E.4** Attend carefully to any general directions that are given at the front cover of the question paper (e.g. directions about the numbers of questions that may be answered).
- **E.5** The answer sheet must be completed using a soft pencil (HB). A clean, soft eraser should be used for changing answers. Do not make any stray marks on the answer sheet.
- **E.6** A candidate who arrives late must give a satisfactory explanation to the Invigilator. Except in exceptional circumstances, a paper will not be given to a candidate who is more than half an hour late.
- **E.7** If you require anything during the examination, raise your hand.



APPENDIX F

01	SETSWANA	
	Paper 1 - Multiple-choice Paper 2 – Composition and Letter Writing	01/1 01/2
02	ENGLISH	
	Paper 1 - Multiple-choice Paper 2 – Composition and Letter Writing	02/1 02/2
03	MATHEMATICS	
	Paper 1 - Multiple-choice	03/1
04	SCIENCE	
	Paper 1 - Multiple-choice	04/1
05	SOCIAL STUDIES	
	Paper 1 - Multiple-choice	05/1
06	AGRICULTURE	
	Paper 1 – Written Paper	06/1
07	RELIGIOUS AND MORAL EDUCATION	
	Paper 1 - Multiple-choice	07



CERTIFICATE OF INVIGILATION

APPENDIX G

Series:	Qualification:
Centre Name:	Centre Number:
Syllabus Name:	Syllabus Code:

The Invigilator responsible for each examination must sign this form at the end of the session. The Chief Invigilator is required to check that the form is complete, countersign and return it to the Chief Executive Officer, Botswana Examinations Council immediately after the last examination session at their centre.

QUESTION PAPERS

We certify that we received question papers from the Chief Invigilator INTACT.

Name of Invigilator	Signature	Time	Date

Chief Invigilator: _____

Signature: _____

Date: _____



CERTIFICATE OF INVIGILATION

SCRIPTS

WE CERTIFY that the examinations for which we are responsible have been conducted at the agreed time and in accordance with the regulations of the Botswana Examinations Council.

Name of Invigilator	Signature	Time	Date	

Chief Invigilator: _____

Signature: _____

Date:



APPENDIX H

Year	Level	
Centre Number	Centre Name	

MEETING DETAILS						
Meeting Date			Meeting Time			
Meeting Owner			Meeting Venue			
Name		Designati	on	Signature		

The form must be sent back to BEC before commencement of examinations at your centre



A BRIEF GUIDE TO THE ADMINISTRATION OF EXAMINATIONS UNDER CONTAGIOUS DISEASES ENVIRONMENT

APPENDIX I

The purpose of this document is to provide guidelines for centres in an environment where an examination is being conducted under contagious disease environment e.g., COVID-19. The guidelines are applicable for the year 2023 only.

These guidelines are intended for use by the Heads of Centres, Chief Invigilators, Invigilators as well as candidates. They should be used in conjunction with the BEC Guidelines on conduct of Examinations.

Centres should;

- 1. Regularly clean and disinfect all frequently touched surfaces in the examination environment such as door handles, desk surfaces, examination storages and cabinets on daily basis before and after each examination.
- 2. Provide candidates with adequate time to wash hands or sanitise their hands before sitting for an examination. This should be at least 30 minutes before the start of an examination.
- **3.** Ensure social distancing of 1.25m or more between candidates as per the exam regulations. Invigilators should minimise contact with candidates during the distribution of question papers, collection of scripts and marking of attendance registers.
- 4. Provide separate accommodation for writing the exam, in cases of candidates with contagious disease. The candidates should not be allowed to come into contact with the rest of the candidates and precautionary measures should be taken to ensure that the centre is not at risk.
- 5. On receipt of examination material from the Courier Company, all personnel involved must sanitise themselves including the consignment before checking and storing securely.
- 6. Candidates should not share examination stationery or equipment but if they are to share, all materials should be sanitized before and after each use. This also applies to shared utensils used during Coursework and Practical examinations.
- 7. All equipment for use by candidates with special needs including Perkins braillers for candidates with visual impairment, assistive technology devices and recorders for other disability types such as learning disabilities should also be sanitised before and after use.
- 8. Provide face shields to be worn by candidates with special needs where possible/appropriate for oral response and also by scribes, readers and sign language interpreters as the use of face masks for certain disabilities may render the examination inaccessible for certain disability types. Sign language interpreters for candidates with hearing impairment may not be required to wear face masks and therefore a safe distance of minimum 1m must be maintained between the interpreter and the candidates.
- **9.** Know that candidates' needs are different and therefore only when it's appropriate and when candidates are comfortable should special arrangements be made as a response to contagious disease, as some candidates with special needs may be averse or sensitive



A BRIEF GUIDE TO THE ADMINISTRATION OF EXAMINATIONS UNDER CONTAGIOUS DISEASES ENVIRONMENT

(sensory issues e.g Autism) to having material on their skin such as face masks and face shields. This should be backed up by evidence and approved in advance by BEC. The approval letter should be produced during centre inspections. Care should be taken to ensure that the safety of candidates with special needs is not compromised.

- **10.** Ensure safe exchange of question paper packets and scripts between Invigilators and candidates at all times before and after the examination by sanitising.
- **11.** In all these undertakings and during the conduct of exam, the confidentiality of the examination should not be compromised.
- **12.** Consult Centre Quality Assurance Office for further clarity at;
 - 3650700/837



EXAM ROOM ENTRY REGISTER

APPENDIX J

QUALIFICATION/LEVEL:	CENTR	E	EXAMINATION	ROOM	
	NO.		YEAR/SERIES:	NO:	

NOTE: This form is to be completed by every personnel who goes into the examination room during a live examination.

Date	Name of the Visiting Personnel	Exam Component Taking Place	Purpose of the Visit	Time In	Time Out	Visitor's Signature	Entry Authorised By (Invigilator's Name)	Signature

Name of Chief Invigilator: _____ Signature: _____ Date: _____

This form may be required as evidence during investigations of alleged malpractice cases. It should be kept securely in the Centres secure storage until after the end of the results enquiries period which is six weeks after the release of results. Thereafter it can be disposed off like any other exam materials that the centres need to dispose.